# Atlas & Text of Lung Cytology

Gia-Khanh Nguyen Brenda Smith 2014

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# Preface

This **Atlas & Text of Lung Cytology** was written for practicing pathologists in community hospitals, cytotechnologists and residents in pathology who wished to have a concise and well-illustrated manual for easy consultation in their daily work. Some materials in this book were taken from the senior author's ebook on Essentials of Lung Tumor Cytology that was published online by The David F. Hardwick Pathology Learning Centre of The University of British Columbia in 2008. The newly proposed classification of lung adenocarcinomas, classification of lung cancer in small biopsies and cytologic materials and the importance of tumor typing for molecular target therapy of lung cancer were also briefly discussed. This atlas and text should be used in conjunction with our ebook on Fluid Cytology for a more comprehensive discussion on cytopathology of pleural mesothelioma and metastatic cancers to the pleura.

For improvement of the future editions of this book, comments and suggestions from the reader will be appreciated. Last but not least, we wish to thank Dr. Jason Ford, Director and Mrs. Helen Dyck, Manager of The David F. Hardwick Pathology Learning Centre for their interest and enthusiasm in publishing this book on online. A free access to the educational materials posted on the webpage of the above-mentioned centre is valuable for students with limited financial resources worldwide.

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# Related material by Gia-Khanh Nguyen

Essentials of Needle Aspiration Biopsy Cytology, Igaku-Shoin, New York, 1991 Essentials of Exfoliative Cytology, Igaku-Shoin, New York, 1992 Essentials of Cytology. An Atlas, Igaku-Shoin, New York, 1993 Critical Issues in Cytopathology, Igaku-Shoin, New York, 1996 Essential of Lung Tumor Cytology, UBC Pathology, Vancouver, 2008 Essentials of Abdominal Fine Needle Aspiration Cytology, UBC Pathology, 2008 Essentials of Head and Neck Cytology, UBC Pathology, Vancouver, 2009, 2012 Essentials of Fluid Cytology, UBC Pathology, Vancouver, 2009 Essentials of Gynecologic Cytology, UBC Pathology, Vancouver, 2011 Essentials of Pap smear and Breast Cytology, UBC Pathology, Vancouver, 2012 Atlas & Text of Lung Cytology, UBC Pathology, Vancouver, 2012 Cytodiagnosis of Breast Lesions, An Atlas and Text, UBC Pathology, Vancouver, 2014

### Key to abbreviations

ABC: Avidin-biotin complex technique BAL: Bronchoalveolar lavage BB: Bronchial brushing BW: Bronchial washing CB: cellblock CP: conventional preparation\* DQ: Diff-Quick stain FNA: Fine-needle aspiration or aspirate HE: hematoxylin and eosin stain IHC: Immunohistochemistry/Immunohistochemical LBP: Liquid-based preparation MGG: May-Grünwald-Giemsa stain Pap: Papanicolaou or Pap stain TBFNA: Transbronchial/mucosal FNA TTFNA: Transthoracic FNA

\* Conventional preparations include smearing of cellular materials from sputum, FNA, BB and sediments from liquid materials obtained by BW and BAL, as well as cytospin preparations from liquid materials obtained by BW, BAL and FNA, in contrast to cell films prepared by LBP technique such as ThinPrep technique.

#### Chapter 1

# Cytologic investigation of the lung

Investigation of lung diseases using cytologic materials has a long history that can be traced back to the 19<sup>th</sup> century. However, pulmonary cytology had no remarkable developments in the early years of the 20<sup>th</sup> century until the 1950s when a large number of papers reporting on the ability to detect and type lung cancers were published. In the 1960s the technique of TTFNA of lung cancer under chest fluoroscopic guidance was developed and the early years of 1980s marked the development of TBFNA via a flexible fiberoptic bronchoscope that allowed a cytologic evaluation of submucosal lesions and enlarged peribronchial lymph nodes. In 1990s endobronchial and endoesophageal ultrasound-guided endoscopic FNA was developed and introduced to medical practice to obtain cell samples for diagnosis of enlarged mediastinal lymph nodes and for staging of lung cancers.

#### Normal lung tissue

The respiratory tract is divided into upper and lower parts. The upper respiratory tract is composed of the nose and larynx, and the lower respiratory tract consists of the trachea, bronchi and lung. The tracheobronchial tree contains cartilage and submucosal mucus-secreting glands and is lined by a pseudostratified, ciliated columnar epithelium that contains, in addition, goblet cells, Clara cells and Kulchitsky cells (neuroendocrine cells). (Fig.1.1). The bronchi ultimately branch into bronchioles that do not have cartilage and submucosal glands. Bronchioles are purely conducting ducts that divide into respiratory bronchioles which merge into alveolar ducts and alveoli.

Pulmonary alveoli are lined by type I and II epithelial cells. Type I cells account for 40% of the alveolar cells, cover 95% of the alveolar surface and facilitate gas exchange. Type II cells produce surfactant and can reconstitute the alveolar surface after injury. (Fig.1.2). The lung and the inner aspect of the pleural cavities are covered by a layer of mesothelial cells.



**Fig.1.1**. Normal bronchial ciliated columnar epithelium with pseudostratified nuclei. (HE)



Fig.1.2. Normal lung parenchyma showing alveolar spaces containing single and clustered alveolar macrophages. (HE).

#### Lung cell samples

The lower respiratory tract is the target of respiratory cytology that can be studied by one or a variable combination of the following 7 types of cell sample: sputum, bronchial suction, bronchial washing (BW), bronchial brushing (BB), bronchoalveolar lavage (BAL), Transbronchial FNA (TBFNA) and Transthoracic FNA (TTFNA).

#### Sputum

Sputum cell samples are obtained by early morning deep cough after mouth washing. The commonly used fixatives are 50% ethanol and Saccomanno's solution (50% ethanol and 2% polyethylene glycol or carbowax). For a sputum specimen collected in 50% ethanol, the classic "pick and smear" technique is used. Two smears are prepared, immediately fixed in 95% ethanol and stained by the Papanicolaou technique. The rest of the specimen is fixed in formalin and embedded in paraffin for CB preparation. Sputum collected in Saccomanno solution is homogenized in a blender and concentrated by centrifugation. It can also be processed using a thin layer method. A mucolytic agent such as dithiothreitol can be used to break down mucus, thereby making smear preparation or liquid based preparations less problematic. Sputum cytology is more sensitive in detecting cancers involving large proximal bronchi than peripheral and metastatic cancers and its sensitivity increases with the number of specimens. An adequate or representative sputum cell sample must contain alveolar macrophages. (Fig.1.3).



**Fig.1.3**. Adequate sputum sample showing dust-laden alveolar macrophages. (CP, Pap).

#### **Bronchial washing**

Bronchial secretions may be aspirated from the trachea via a tracheal tube or a tracheotomy stoma. BW is performed during bronchoscopy by instilling vials of 5 to 10 mL of warm normal saline into a bronchus. The fluid is then aspirated and usually 2 cytospin smears are prepared and stained by the Pap method. A BW can also be prepared with a thin layer, liquid-based method. A BW from a normal individual should show a few bronchial columnar cells admixed with polymorphonuclear leukocytes and macrophages. (Fig.1.4). It is often contaminated with squamous cells exfoliated from the upper respiratory tract.



**Fig.1.4**. Normal BW showing bronchial epithelial cells, alveolar macrophages and a few metaplastic squamous cells. (CP, Pap).

#### **Bronchial brushing**

BB is performed during bronchoscopy. A cytobrush is used to scrape the surface of a bronchial lesion. The entrapped cells are transferred to a frosted slide by circular movements. Usually 2 smears are prepared and stained by the Pap technique. It can be done 2 to 3 times to secure an adequate number of diagnostic cells. The brush may be saved in a vial of normal saline for cytospin preparation or LBP, and the rest of the cell sample can be used for making a CB.

Cytologic material obtained by BB contains abundant bronchial epithelial cells and a small number of neutrophils as well as a few squamous cells exfoliated from the upper airways. (Figs. 1.5 and 1.6).





**Figs.1.5**. Normal bronchial epithelium showing in BB: A. Numerous bronchial glandular cells present singly, in clusters and sheets. B. Two bronchial epithelial fragments consisting of ciliated columnar cells with terminal plates and a benign metaplastic squamous cell. C. A few columnar bronchial epithelial cells and goblet cells with vacuolated cytoplasm. (CP, Pap).





**Figs.1.6**. A, B. BB of normal bronchial epithelium showing single and clustered columnar bronchial epithelial cells with terminal bar and cilia. (LBP, Pap).

#### Bronchoalveolar lavage

To obtain a BAL cell sample, a bronchoscope is wedged into position as far as it can advance. The distal airways are flushed with several vials of warm normal saline totaling 300 mL. The flushed samples are then aspirated. The first sample contains mainly bronchial secretion and is discarded. Other samples are pooled together and usually 2 cytospin slides are prepared and stained by the Pap and/or Diff-Quik technique. The remaining BAL cell sample is used for CB preparation.

BAL reflects the cellular changes within alveolar spaces. An adequate BAL cell sample should contain abundant alveolar macrophages and a few lymphocytes and polymorphonuclear leukocytes. (Fig.1.7). The number of epithelial cell (bronchial columnar and squamous cells) should be less than 5% of all cells present in the sample. Differential cell counts are obtained by evaluating 200 cells. In normal, nonsmoking individuals, polymorphonuclear leukocytes account for about 1% of all cells present. Neutrophils, up to 4%, can be found in the BAL from a cigarette smoker without any lung disease, however. BAL is useful in detecting infections of the alveolar spaces and it is less sensitive in detecting lung cancers.



**Fig.1.7**. BAL sample from a city resident showing numerous alveolar macrophages and many of them contain dust and carbon particles. (CP, Pap).

#### Transbronchial/transmucosal fine needle aspiration

TBFNA is performed during bronchoscopy. It samples a submucosal, paratracheal or parabronchial mass lesion or enlarged lymph node. The sample is invariably contaminated with bronchial secretions containing exfoliated bronchial epithelial cells, and submucosal glandular cells may rarely be seen. An adequate TBFNA cell sample from a lymph node should show abundant lymphocytes. Endoscopic ultrasound-guided FNA via the bronchial tree or esophagus is used to obtain cytologic materials from posterior mediastinal lymph nodes for diagnosis and staging of lung and pleural cancers.

#### Transthoracic fine needle aspiration

TTFNA under imaging guidance is used for investigation of patients with a lung or pleural mass lesion, usually peripherally located, showing no diagnostic cells in sputum, BW, BB, BAL and TBFNA. An adequate TTFNA cell sample from a normal lung tissue may show alveolar macrophages, bronchial epithelial cells and sheets of mesothelium. (Fig.1.8). TTFNA is highly sensitive in detecting lung cancers. Tumor cells in a CB prepared from a needle aspirate are routinely studied by IHC for tumor typing.



**Fig.1.8**. TTFNA from a normal lung showing a large fragment of mesothelium with folding and a few alveolar macrophages. (CP, Pap).

#### Ancillary techniques

Cytochemical and IHC studies can be done with satisfactory results on previously stained smears without prior de-staining. However, they are best performed on formalin-fixed minute tumor tissue fragments in CBs prepared from materials procured by BW, BB or FNA. Grossly identified minute tissue fragments should be removed and fixed in formalin for histologic, cytochemical and IHC studies. They may also be fixed in 2% glutaraldehyde for ultrastructural evaluation. It should be born in mind that ethanol is not a suitable fixative for electron microscopy as it destroys cellular ultrastructures.

#### Diagnostic accuracy, tumor typing, and reporting

#### **Diagnostic accuracy**

The sensitivity, specificity and predictive value of different types of respiratory specimen in the diagnosis of lung cancer vary with the tumor location and the type and number of specimens. In general a combination of different types of cell sample offers higher sensitivity, specificity and predictive value for a positive result than a single sample.

- Sputum cytology is more efficient in detecting cancers involving large proximal bronchi. Its sensitivity is low with one specimen (27 to 41%) and when 3 samples are obtained it increases to 57 to 89%. If 5 samples are used a sensitivity as high as 96.1% may be reached.
- The sensitivity of a BW in the diagnosis of lung cancer varies from 61 to 76%, and that of a BB ranges from 70 to 77%.
- For TBFNA, the sensitivity of the procedure alone is about 52%. When a TBFNA is combined with BW, BB and bite biopsy its sensitivity increases to 72%. The specificity of the biopsy technique is 70 to 74% and its positive and negative predictive values are 100% and 53 to 70%, respectively.
- For TTFNA, the sensitivity and specificity of the procedure are 89% and 96%, respectively. Its positive and negative predictive values are 98% and 70%, respectively; and its false-positive and false-negative rates are 0.85% and 6%, respectively.
- By using a selected combination of the above-mentioned methods, up to 98% of centrally located cancers and 94% of peripherally located cancers can be diagnosed cytologically.
- False-positive diagnosis of malignancy does occur. It is less than 1% of all malignant diagnoses by sputum, BW, BB and FNA.
- Reactive bronchial epithelial cells are the main source of false-positive diagnostic errors.
- Positive predictive value of cancer diagnosis is almost 100% in experienced hands.
- Mediastinal lesion: A cytodiagnostic accuracy rate of about 80% for mediastinal tumors by FNA have been reported.

#### Tumor typing

- Sputum, BW, BB and FNA cytology are highly accurate for identification of small cell carcinoma, squamous cell carcinoma and adenocarcinoma, and accuracy rates over 90% have been reported in many large series.
- Typing of large cell carcinoma is more difficult by sputum, BW, BB and FNA cytology, and an accuracy rate of about 80% has been reported.
- Cytohistologic correlation rates of sputum and bronchoscopy cytologic materials, according to Johnston and Bossen, were 85% for squamous cell carcinoma, 79% for adenocarcinoma, 30% for large cell carcinoma and 93% for small cell carcinoma of the bronchial tree.
- Cytohistologic correlation rates of TTFNA, also according to Johnston and Bossen, were 80%, 96%, 42% and 95% for squamous cell carcinoma, adenocarcinoma, large cell carcinoma and small cell carcinoma of the lung, respectively.
- IHC studies of CBs of respiratory cell samples with TTF-1, CK5/6, p63, chromogranin and neuron-specific enolase antibodies prove to be helpful for

typing of bronchial squamous cell carcinoma, adenocarcinoma and small cell carcinoma.

#### **Reporting of results**

- As in non-gynecologic cytology, the results of respiratory cell samples, regardless of their type, are usually reported as Negative, Atypical, Suspicious and Malignant.
- Reported results consist of: Type of cell sample followed by a statement of adequacy and a statement on cytologic findings.
- A comment and/or recommendation may be added, if indicated.

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#### Chapter 2

# Nonneoplastic lung lesions

#### Abnormal cellular findings

#### A. Hyperplastic and reactive bronchial epithelial cells

Hyperplastic and reactive bronchial epithelial cells are present in groups or clusters and they may form tridimensional papillary clusters with smooth contours or Creola bodies. Highly reactive bronchial epithelial cells with prominent nucleoli may mimic malignant glandular cells. However, these cells often retain a columnar or cuboidal shape with presence of terminal plates and cilia. They may be seen in sputum or bronchial cytologic materials from patients with acute and chronic bronchitis or viral pneumonitis, and they usually disappear in 2 weeks after the recovery of a lung infection. (Figs.2.1 to 2.3).





**Figs.2.1**. A. Reactive/hyperplastic bronchial epithelial cells in BB of a patient with viral pneumonitis. B. Large atypical reactive/hyperplastic bronchial epithelial cells in BB from another patient with viral pneumonitis. (CP, Pap).





**Figs.2.2.** A, B. Reactive bronchial epithelial cells in BB of an acute bronchitis mimicking malignant glandular cells. These cells are present in monolayered sheets and show prominent nucleoli. (LBP, Pap).





**Figs.2.3**. A cluster of hyperplastic bronchial epithelial cells in BW (A) and a Creola body in sputum (B) of a patient with chronic bronchitis. (CP, Pap).

#### 2. Hyperplastic alveolar cells

Patients receiving hyperbaric oxygen therapy for respiratory failure or having a pulmonary infarct may exfoliate highly atypical reactive and hyperplastic alveolar cells. These cells are large and polygonal in shape, usually occur in small clusters and show enlarged nuclei with prominent nucleoli, mimicking malignant glandular cells. Their presence is usually transitory. (Fig.2.4).



**Fig.2.4.** A cluster of atypical and hyperplastic alveolar cells with prominent nucleoli in BW of a patient recovering from diffuse alveolar cell damage. (CP, Pap)

#### 3. Hyperplastic reserve cells

Hyperplastic reserve cells are usually seen in bronchial cell samples from patients with chronic bronchitis. These cells display rather distinctive cytologic features permitting their correct identification in almost all cases. They usually occur in compact clusters or sheets consisting of uniform small cells that often have a straight edge and may be mistaken for cells of a small cell carcinoma by an inexperienced observer. (Figs.2.5).



**Figs.2.5**. A, B. A sheet of hyperplastic reserve bronchial epithelial cells in BAL (A) and in BB (B) showing small cuboidal cells with scant cytoplasm and straight edges. (CP, Pap).

#### 4. Metaplastic squamous cells

Bronchial epithelium in long-term cigarette smokers and in patients with chronic bronchitis or chronic obstructive lung disease commonly undergoes squamous metaplasia that may display cellular atypia. Exfoliated metaplastic squamous cells in lung cell samples usually occur singly, in small aggregates or in small monolayered sheets. These cells show a "hard" basophilic or orangeophilic and well-defined cytoplasm. Atypical metaplastic squamous cells may display enlarged nuclei with a slightly hyperchromatic, granular, open chromatin pattern and inconspicuous nucleoli. (Fig.2.6). A cavitating mycetoma may be lined by an atypical metaplastic squamous epithelium that yields in TTNA highly atypical squamous cells mimicking malignant squamous cells.



**Fig.2.6**. Atypical metaplastic squamous cells in BB cell sample. Note the open chromatin pattern and inconspicuous nucleoli. (CP, Pap).

#### 5. Radiation- and Chemotherapy-induced cellular changes

Radiation and chemotherapy may induce cellular changes, mimicking cancer cells. Those cells appear as enlarged bizarre cells with smudgy nuclei, multinucleation and vacuolated cytoplasm. However, they lack unequivocal cytologic features of malignant cells such as a high N/C ratio, irregular nuclear contours and hyperchromatic coarsely granular chromatin clumping. (Figs. 2.7).



**Figs.2.7**. A. Highly atypical or suspicious epithelial cells in sputum of a patient having radiation therapy for mediastinal germ cell tumor. B. Atypical epithelial cells in sputum of a patient receiving chemotherapy for acute myelogenous leukemia. (CP, Pap).

#### Abnormal noncellular findings

**1. Curschmann spirals** are formed by inspissated mucus within bronchiolar lumens of patients with small airway diseases, especially in chronic smokers. It has a dark-staining core with wispy mucous ends. Curschmann spirals are seen mainly in sputum and BAL specimens. (Fig.2.8).



Fig. 2.8. A Curschmann spiral in a BAL cell sample. (CP, Pap).

**2. Corpora amylacea** are composed of glycoprotein and are large, nonlaminated or poorly formed lamellar structures that stain yellow or orange with the Pap method. They are more commonly seen in sputum and BAL cell samples from patients with chronic pulmonary edema. (Fig.2.9).



Fig.2.9. Corpora amylacea in a sputum cell sample. (CP, Pap).

**3. Calcified concretions or calcospherites** are laminated (psammoma bodies) or nonlaminated bodies with dense central parts. They are composed of calcium, phosphate, iron, magnesium and other materials and may be seen in sputum and BAL cell samples from patients with chronic obstructive lung disease, pulmonary tuberculosis, cor pulmonale and rarely in patients with papillary bronchial adenocarcinoma. (Fig.2.10).



Fig.2.10. Two calcospherites in a sputum cell sample. (CP, Pap).

**4. Ferruginous and asbestos bodies.** Inhaled asbestos fibers are phagocytosed by alveolar macrophages. These fibers are covered with iron and protein and become ferruginous bodies with heads having different shapes. (Fig.2.11). They are seen mainly in BAL cell samples.



Fig.2.11. A ferruginous body and alveolar macrophages in a BAL. (CP, Pap).

**5.** Talcosis occurs in individuals with prolonged and heavy exposure to talc powder or in patients with intravenous drug abuse when talc powder is used as carrier material. Foreign-body granulomas with birefringent platlike talc particles in fibrotic interstitium with nodularity are observed in lung tissue, and talc particles within macrophages may be found in BAL cell samples. (Figs.2.12).



**Figs.2.12.** A: Histology of the lung with talcosis from an intravenous drug user. B: BAL showing alveolar macrophages with two of them containing intracytoplasmic birefringent talc particles. (CP, Pap).

**6.** Charcot-Leyden crystals are rhomboid crystals that are breakdown products of eosinophils in asthmatic lungs. They are seen mainly in BAL and sputum cell samples. (Fig.2.13).



Fig.2.13. Charcot-Leyden crystals in a sputum cell sample. (CP, Pap).

**7. Hemosiderin-laden macrophages** are seen in pulmonary hemorrhage that occurs in Goodpasture syndrome, idiopathic pulmonary hemorrhage and lung infarct secondary to pulmonary thromboembolism. These macrophages can be seen in sputum and BAL cell samples. (Figs.2.14)



**Figs.2.14.** A. BW showing numerous hemosiderin-laden macrophages with intracytoplasmic coarsely granular hemosiderin granules. (CP, Pap). B. Hemosiderin-laden macrophages in a sputum cellblock. (Prussian blue)

#### Lung infections

#### 1. Nonspecific infections

Nonspecific lung infection is caused by a number of bacteria and may be classified as acute or chronic. Acute pneumonitis is characterized by inflammatory exudates and pus formation. Chronic pneumonitis shows an increase in lymphoid cells, plasma cells and macrophages. Lung abscess is usually caused by *Staphylococcus aureus* and is commonly secondary to aspiration of food particles and gastric contents.

#### 2. Tuberculosis

A lung tuberculoma may destroy a bronchus, discharge its caseating contents and become a cavity. Bronchial cytologic materials or BAL may reveal necrotic debris, single and clustered epithelioid cells with elongated or bean-shaped nuclei and multinucleated giant cells of Langhans. (Figs.2.15 to 2.17). TBFNA from enlarged mediastinal lymph nodes with tuberculous lymphadenitis may reveal epithelioid cells, giant cells of Langhans and minute tissue fragments containing tuberculous granulomas. Acid-fast bacilli can be visualized in CB sections with Ziehl-Neelsen or acid-fast bacilli stain.





**Figs.2.15**. A, B. BB from a tuberculous bronchitis reveals single spindle and polygonal epithelioid cells with elongated or bean-shaped nuclei. (CP, Pap).





**Figs.2.16**. A, B. TTFNA from a lung tuberculoma showing clustered epithelioid cells and multinucleated giant cells of Langhans. (CP, Pap).



**Fig.2.17**. Minute tissue fragment in TBFNA from a mediastinal tuberculous lymphadenitis showing a granuloma with multinucleated giant cells of Langhans.

#### 3. Fungal infections

Fungal infections of the lung may be caused by pathologic fungi such as *Blastomyces*, *Cryptococcus neoformans*, *Coccidioidomyces immitis and Histoplasma capsulatum*. In patients with immune deficiencies infections by opportunistic fungi such as *Candida*, *Phycomyces* and *Aspergillus* species are common. These fungal elements may be seen in Pap-stained materials but they are best demonstrated by periodic acid-Schiff and Gomori methenamine-silver (GMS) stains. (Figs.2.18 to 2.20)

- North American Blastomyces are broad-base budding yeasts, 8 to 20 μm in greatest dimension.
- Cryptococcus neoformans are narrow-base budding yeasts, 4 to 15 μm in greatest dimension, extracellular clear zone.
- **Coccidioidomyces immitis** cysts are 15 to 60  $\mu$ m thick-walled spherules with 1 to 2  $\mu$ m endospores.
- Candida species are characterized by 2 to 10 μm round or oval budding yeasts and non-branching hyphae.
- $\circ$  **Aspergillus** species show 5 to 10  $\mu m$  wide septate hyphae with 45° angle branching.
- **Phycomyces** elements are irregular, broken, 10 to 30 μm wide nonseptate hyphae with haphazard or 90° angle branching.

For detecting fungal infections of the lung, according to some reported series sputum had sensitivity and positive predictive values of 16.66% and 50%, respectively; and the sensitivities of BAL and bronchial biopsy were 80% and 18 to 20%, respectively.




**Figs.2.18**. Fungal elements: A. North American Blastomyces round yeast with largebase budding. (CP, Pap). B. Cryptococcus yeasts with thick walls. (CP, Pap). C. Cryptococcus yeast with round budding and narrow base. (CP, GMS).



**Figs.2.19**. Coccidioides cyst with thick wall and endospores in smear (A) and CB (B). (CP, A: Pap, B: GMS).





**Figs.2.20.** A and B. Aspergillus showing nonseptate hyphae with 45° angle branching. (CP: A, Pap; B, GMS). B. Phycomyces showing broken, irregular hyphae with haphazard or 90° angle branching. (CP, Pap).

#### 4. Viral infections

Viral pneumonitis is common in immunocompromised hosts. Etiologic agents include *Cytomegalovirus* and *Herpes simplex viruses*. The inflammatory process affects the interstitial tissue and bronchial epithelium.

• **Cytomegalovirus infection** is characterized by isolated large cells with a single intranuclear eosinophilic inclusion and perinuclear halo. These cells may be seen in all types of respiratory cell sample including sputum, bronchial materials and BAL. In problematic cases a positive IHC staining with Cytomegalovirus antibody confirms the viral infection. (Figs.2.21).



**Figs.2.21**. An alveolar cell with large intranuclear round inclusion displaying an immune- positive reaction to Cytomegalovirus antibody. (CP, A: Pap, B: ABC).

• **Herpetic bronchitis** shows single cells and multinucleated giant cells with intranuclear inclusions. (Figs.2.22). A positive IHC staining with a herpes antibody will confirm the viral infection in equivocal cases.



**Figs.2.22**. Herpetic bronchitis showing in sputum clustered epithelial cells with ground glass nuclei with nuclear molding (A). Intranuclear inclusions without perinuclear halos in BB material (B). (CP, Pap).

#### 5. Pneumocystis pneumonitis

(formerly *carinii*) Pneumocystis jirovecci is ubiquitous and often affects immunocompromised persons and causes an interstitial lung infiltrate of plasma cells and lymphocytes, diffuse alveolar damage with foamy alveolar exudates or casts with organisms appearing as tiny bubbles or vacuoles. These casts may be found in sputum and BW but they are best seen in BAL cell sample stained by the Pap technique. (Figs.2.23). In appropriate clinical settings these foamy casts are diagnostic of Pneumocystis pneumonia. The cysts represented by the vacuoles within alveolar casts are not stained by the Pap method. These cysts are spherical, oval or cup-shaped structures with one flat surface and measure 5 to 7 µm in greatest dimension. Within the cysts are 1 or 2 dot-like trophozoites or sporozoites measuring 0.5 to 1 µm in diameter. Pneumocystis jirovecci organisms may be detected by commercially available monoclonal antibody or by PCR technique. However, GMS staining of BAL cell samples is the preferred diagnostic method in most cytology laboratories.





**Figs.2.23**. Pneumocystis pneumonitis: A. A large intraalveolar foamy cast. (CP, Pap). B. Pneumocystis jirovecci organisms with central, round nuclei in a CB. (CP, GMS).

# Other inflammatory and noninflammatory lung diseases

#### 1. Eosinophilic pneumonia

Eosinophilic pneumonia can be idiopathic or secondary to drugs, fungal infection or parasitic infestation. It is characterized by the presence of numerous eosinophils in alveolar spaces, and abundant eosinophils can be seen in respiratory cytologic materials. (Figs.2.24).



**Figs.2.24.** Eosinophilic pneumonia. A. Histology of the lung lesion. B. Abundant eosinophils in a BAL cell sample. (CP, Pap).

#### 2. Sarcoidosis

Sarcoidosis is a disease of unknown etiology and is probably caused by an exaggerated helper T-cell response. It is characterized by mediastinal lymphadenopathy and

pulmonary infiltration. Histologically, numerous non-necrotizing granulomas are present in lymph nodes, interstitial lung tissue and bronchial mucosa. Multinucleated giant cells with intracytoplasmic star-shaped crystals (asteroid bodies) and small lamellar calcified bodies (Schaumann bodies) may be seen in lung tissue sections and material obtained by BB. The BAL shows abundant lymphoid cells of T-cell type. The lymphoid cell population usually ranges from 10 to 70% in most cases. (Figs.2.25 and 2.26). Multinucleated giant cells with the above-mentioned intracytoplasmic bodies may be seen in BB but are rarely identified in BAL cell samples.







**Figs.2.25**. Lung sarcoidosis: A. Lung tissue section showing nonnecrotizing granulomas in interstitial tissue. B. Numerous lymphocytes present in a BAL cell sample. C. A cluster of elongated epithelioid cells in BB is shown in C. D. A lamellar calcified Schaumann body (CP, Pap). E. Multinucleate giant cell containing star-shaped asteroid bodies (LBP, Pap).



**Fig.2.26**. Cellblock prepared from a TBFNA of a parabronchial enlarged lymph node in a patient with sarcoidosis showing a noncaseating granuloma. (HE).

#### 3. Lipid pneumonia and Aspiration pneumonia

**Lipid pneumonia** may occur in patients aspiring mineral oil or using oily nose drops. It commonly affects the lower lobe of the left lung that may resemble a lung tumor radiologically. Numerous lipid-laden macrophages are seen in sputum and BAL material. Intracellular fat droplets can be demonstrated in air-dried smears stained with Oil-red-O or Sudan black. (Figs.2.27).

**Aspiration pneumonia** develops as the result of aspiration of food particles with subsequent development of a lung abscess. Cytologic material from the cavity of an aspiration pneumonitis contains pus and food particles. (Figs.2.28).





**Figs.2.27**. Lipid pneumonia: A. Histologic section of a lung with lipid pneumonia. B. Clustered foamy histiocytes in a sputum cell sample. (CP, Pap). C. BAL cell sample showing numerous lipid-laden macrophages. (CP, Oil-red-O).





**Figs.2.28**. Aspiration pneumonia showing in sputum: A. Well-preserved vegetable cells in flat fragments with thick transparent cellulose walls and homogenously stained nuclei. The cellulose walls are not stained by the Pap stain in this case. (CP, Pap). B. A fragment of vegetable showing cells with thick cellulose walls that stain weakly with the Pap stain. (CP, Pap). C. An irregular fragment of food surrounded by numerous polymorphonuclear leukocytes. (CP, Pap).

#### 4. Pulmonary infarct

A pulmonary infarct may mimic a lung tumor radiologically. Highly reactive alveolar cells and numerous hemosiderin-laden macrophages are seen in respiratory materials. (Fig.2.29). Hemosiderin can be well-demonstrated by Prussian blue stain.



Fig.2.29. Reactive alveolar cells in a BW. (CP, Pap).

#### 5. Chronic interstitial lung fibrosis

This is a heterogenous group of lung diseases. These disorders may have similar clinical and radiological findings and consist of idiopathic and connective tissue disease-associated interstitial lung fibrosis and interstitial fibrosis caused by inhalation of organic and non-organic dusts. Disorders with chronic interstitial lung fibrosis may be divided into 2 cytologic groups on the basis of neutrophilic or lymphocytic reaction:

• **Neutrophilic group** is composed of idiopathic and connective tissue diseaseassociated interstitial fibrosis, asbestosis and histiocytosis X. The BAL shows numerous macrophages and neutrophils that may account for 5 to 50% of the total cell count. An increase in leukocytic count is an indication of the aggravation of the disease and a decrease in leukocytic count is an indication of a favorable response to treatment, in sequential BAL samplings. (Figs.2.30).



**Figs.2.30**. A. Histology of pulmonary interstitial fibrosis. B. Numerous polymorphonuclear leukocytes are present in BAL fluid. (CP, Pap).

• Lymphocytic group consists of sarcoidosis and hypersensitivity pneumonitis. In sarcoidosis increased numbers of macrophages and lymphoid cells are noted. The lymphoid cells account for 10 to 70% of the differential cell counts and the number of helper T-cells is also increased. Progression of the disease to a more severe interstitial

fibrosis is heralded by an increased number of neutrophils, and a resolving disease is heralded by a decrease of lymphoid cells in BAL fluid samples. (Fig.2.31).



**Fig.2.31**. Sarcoidosis progressing to a more severe interstitial fibrosis showing several polymorphonuclear leukocytes in a BAL cell sample. An epithelioid cell with elongated nucleus is marked by an arrow. (CP, DQ).

#### 6. Pulmonary alveolar proteinosis

Pulmonary alveolar proteinosis (PAP) is a disease of unknown pathogenesis and its true incidence is unknown. It is more common in men than in women of 30 to 40 years of age, with a 3:1 male-to-female ratio. A defect in alveolar clearance and/or alveolar macrophage activity associated with an overproduction of lipid by alveolar type II lining cells have been suggested to play a role in the pathogenesis of PAP. Two forms PAP are encountered: idiopathic and secondary. The secondary PAP occurs in several settings: lung infection, hematologic malignancies, immune deficiencies including HIV infection and inhalation of silica, aluminum dust, titanium and insecticides.

PAP is an unusual diffuse lung disease and characterized by an accumulation of large amounts of phospholipoprotein-rich material in alveolar spaces. Ultrastructural study of lung tissue reveals intraalveolar accumulation of concentric lamellar structures or myelin figures, suggesting surfactant. (Figs.2.32). The BAL fluid is turbid, milky, thick and granular. It stains strongly positively with periodic acid-Schiff with prior diastase digestion (PASD). Electron microscopic study of BAL sediment may reveal numerous well- or poorly preserved myelin figures, as seen in tissue section. Repeating BAL is an effective therapeutic procedure for PAP. (Figs.2.33).



**Figs.2.32**. A. Histologic section of a lung with PAP showing thick, granular, PASD positive intraalveolar material. (PASD). B. Ultrastructure of lung tissue showing concentric lamellar bodies, suggesting surfactant. (Uranyl acetate and lead citrate stain, x 51,000).





**Figs.2.33**. Pulmonary alveolar proteinosis: A. Thick, amorphous, coarsely granular material in BAL sediment. (CP, Pap). B. Intraalveolar material stains strongly positively with PASD. (CP, PASD). C. Ultrastructure of BAL sediment showing degenerated and fragmented lamellar bodies, suggesting surfactant. (Uranyl acetate and lead citrate, X 48,000)

### Lung diseases in HIV infection

Lung disease is the leading cause of morbidity and mortality in HIV-infected patients. Bacterial pneumonia caused by usual pathogens is a common and serious disease in HIV-infected patients. The most important microorganisms are *S. pneumoniae*, *S. aureus*, *H. influenzae* and gram negative bacilli. Kaposi sarcoma, non-Hodgkin lymphoma and bronchogenic carcinomas occur with increased incidences in HIV victims. In HIV-positive patients CD4 positive T-cell count is useful for differential diagnosis of lung infections:

- If CD4 count is > 200 cells/mm<sup>3</sup>, bacterial and TB infections are more commonly encountered.
- When CD4 count is < 200 cells/mm<sup>3</sup>, Pneumocystis pneumonia should be considered.
- When CD4 count is < 50 cells/mm<sup>3</sup>, CMV and M. avium infections should be considered.

#### Lung transplantation

Patients with lung transplantation for a variety of lung diseases face major complications including acute and chronic rejections and infections. As transplanted lungs may develop a primary or secondary cancer, and tumor cells may be observed, in addition, to cellular changes of lung rejections and infections. Acute rejection is characterized by perivascular infiltrates of lymphoid cells, macrophages and eosinophils. Chronic rejection is characterized by bronchiolitis obliterans with late formation of bronchiectasis. Opportunistic infections with *Cytomegalovirus* and *Candida* and *Aspergillus* species are common. Lymphoproliferative disorders are often associated with Epstein-Barr virus infection and seen in 3 to 8% of patients with lung transplantation. The presence of abundant lymphoid cells and eosinophils in a BAL cell sample from a patient with transplanted lung heralds an acute transplant rejection that requires an urgent and appropriate treatment to save the graft.

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### Chapter 3

# **Usual lung cancers**

Bronchogenic cancer usually occurs between 60 and 70 years of age, accounts for about 95% of all primary lung cancers and has a male predominance, but the number of affected women is increasing. Smoking accounts for 80% of all lung cancer cases in males and at least 50% of the cases in females worldwide. Other risk factors include exposure to occupational and environmental carcinogens such as asbestos, arsenic, radon, and polycyclic aromatic hydrocarbons.

About 95% of all primary lung cancers may be classified into four major histologic types: squamous cell carcinoma, adenocarcinoma, large cell carcinoma and small cell carcinoma, and the remaining 5% include carcinoid tumors, other carcinomas, sarcomas and lymphomas. For management purposes bronchogenic carcinomas are practically classified into 2 large groups: small cell lung cancer (SCLC) and nonsmall cell lung cancer (NSCLC). NSCLC includes squamous cell carcinomas, adenocarcinomas and large cell carcinomas. The main reason for this categorization is that almost all SCLCs are at advanced stages when first detected, and they are best treated by chemotherapy with or without radiation. NSCLCs, on the other hand, respond poorly to chemotherapy and are best treated by surgical resection. However, less than 30% of NSCLCs are resectable when detected.

Among lung carcinomas, squamous cell carcinomas and SCLCs show the strongest association with cigarette smoking. Lung cancers in smokers frequently contain a typical, though not specific, molecular characteristic feature in the form of G:C>T:A mutations in the TP53 gene that are probably caused by benzo[a]pyrene, one of the many carcinogens in tobacco smoke.

SCLCs and NSCLCs have different molecular genetic characteristics. SCLCs have a high frequency of RB gene mutations and the p16/CDKN2A gene is commonly activated in NSCLCs. Activating KRAS and epidermal growth factor receptor (EGFR) oncogene mutations are virtually restricted to lung adenocarcinomas. A subset of lung adenocarcinomas, particularly tumors arising in nonsmoking women of Far Eastern origin, harbor activating mutations of the EGFR. These tumors are found to be susceptible to a number of drugs that inhibit EGFR signaling.

The clinical manifestations of bronchogenic cancers have some common features: cough, dyspnea, hemoptysis, chest pain, obstructive pneumonia and pleural effusion. A Pancoast syndrome may be present when an apex lung cancer invades the eighth cervical and first and second thoracic nerves. A Horner syndrome is observed if an apex lung cancer (Pancoast tumor) invades cervical sympathetic nerves. When a lung cancer

involves the mediastinum a superior vena cava syndrome may develop. About 50% of patients with bronchogenic cancer are found to have distant metastasis at diagnosis, and about 30% have tumor deposits in regional lymph nodes. The prognosis of lung cancer is dismal: 5-year survival rate for all stages of lung cancer combined is about 15%. For patients with localized tumor the 5-year survival rate is about 45%.

Bronchogenic carcinomas consist of many histologic types that are classified as follows, by the World Health Organization (2004). This classification was prepared by pathologists for pathologists to classify lung cancers based on wholly resected tumors and it did not contain any IHC information. It is not suitable for classifying lung cancers in cell samples and small tissue biopsies that are main sources for diagnosis in over 80% of lung cancer patients.

#### 2004 WHO Classification of primary lung carcinomas

Squamous cell carcinoma Papillary, clear cell, small cell, and basaloid subtypes Adenocarcinoma Acinar, papillary, solid, bronchioloalveolar, fetal, signet ring, clear cell, mucinous, and mixed subtypes. Large cell carcinoma Large cell neuroendocrine carcinoma Basaloid carcinoma Lymphoepithelioma-like carcinoma Clear cell carcinoma Large cell carcinoma with rhabdoid features Small cell carcinoma Combined small cell carcinoma Adenosquamous carcinoma Sarcomatoid carcinomas Spindle cell carcinoma Giant cell carcinoma Pleomorphic carcinoma Carcinosarcoma Pulmonary blastoma Carcinoid tumor Typical carcinoid Atypical carcinoid Salivary gland tumors Mucoepidermoid carcinoma Adenoid cystic carcinoma Myoepithelial carcinoma

# Squamous cell carcinoma

This tumor accounts for about 30% of all primary lung cancers. It commonly arises from a major or segmental bronchus and invades the surrounding lung parenchyma. Bronchogenic squamous cell carcinoma may be well- or poorly differentiated. (Figs.3.1). A well-differentiated neoplasm shows keratin pearls and intercellular bridges. A poorly differentiated tumor may mimic a poorly differentiated adenocarcinoma or large cell carcinoma histologically.





**Figs.3.1**. Lung squamous cell carcinoma: A. Well-differentiated tumor. B. Poorly differentiated tumor.

The cytologic manifestations of squamous cell carcinomas in the sputum and in materials obtained by BW, BB and FNA are somewhat similar and vary with the tumor differentiation. (Figs. 3.2 to 3.7).

# Common cytologic features of bronchogenic squamous cell carcinoma in sputum, BW, BB and FNA include:

- Abnormal squamous cells with large or pyknotic, hyperchromatic nuclei.
- Bizarre cell shapes, abnormal keratinization.
- Cell dissociation especially in differentiated tumors.
- Tumor tissue fragments and cell aggregates are often present in FNA.
- Tumor cells usually appear less differentiated in FNA than in BB or sputum because of a higher component of deeper non-keratinizing tumor tissue.
- Tumor cells forming epithelial pearls and intercellular bridges are seen in welldifferentiated tumors.
- Poorly differentiated tumors show cohesive clusters of non-keratinizing malignant epithelial cells with ill-defined, opaque cytoplasm and hyperchromatic nuclei with prominent nucleoli.
- Necrotic debris.

Bronchogenic squamous cell carcinoma subtypes such as clear cell or small cell variants may yield cells mimicking those of a large cell carcinoma, adenocarcinoma of the lung with extensive clear cell change and metastatic clear cell carcinoma from the kidney and ovary or cells derived from a small cell lung cancer. In these situations IHC studies of the cancer cells may yield important information for a more accurate tumor typing. Cells from a bronchogenic squamous cell carcinoma are CK5/6 and p63 positive and CK7, CK20 and Napsin A negative.

Pitfalls in the cytodiagnosis of malignant squamous cells include benign cells with radiation effect, atypical metaplastic squamous cells, benign cells with chemotherapy effect, atypical metaplastic squamous cells in a mycetoma and vegetable cells or pollen.





**Figs.3.2**. Well-differentiated squamous cell carcinoma showing: A. Necrotic and viable keratinized malignant squamous cells in sputum. (CP, Pap). B. Single and clustered keratinized malignant squamous cells in sputum CB. (HE).



**Fig.3.3**. Poorly differentiated squamous cell carcinoma showing in sputum a fragment of non-keratinized malignant squamous epithelium. (CP, Pap).



**Figs.3.4**. Well-differentiated squamous cell carcinoma showing: A. Isolated keratinized malignant squamous cells in BB. B. Isolated keratinized tumor cells in TBFNA. (CP, Pap).





**Figs.3.5**. Well-differentiated squamous cell carcinoma showing: A, B. Isolated keratinizing squamous cells in BB. (LBP, Pap). C. A fragment of keratinized malignant squamous cell epithelium in BB CB. (HE).



Fig.3.6. Poorly differentiated squamous cell carcinoma showing in TTFNA a fragment of non-keratinized malignant squamous epithelium. (CP, Pap).



**Figs.3.7**. A, B: Poorly differentiated squamous cell carcinoma showing in BB loosely clustered non-keratinized malignant epithelial cells. (LBP, Pap).

# Adenocarcinoma

Bronchogenic adenocarcinomas account for about 30% of all primary lung cancers. About 75% of these tumors arise in the lung periphery and present radiologically as a

"coin lesion". In the remaining 25% of the cases the neoplasms are located in a lobar or segmental bronchus.

Lung adenocarcinomas display several histologic patterns and are classified according to the above 2004 WHO classification. In 2011 a multidisciplinary classification of lung adenocarcinomas was proposed by a joint study of the International Association for the Study of Lung Cancer (IALC), American Thoracic Society (ATS) and European Respiratory Society (ERS).

# IASLC/ATS/ERS Classification of Lung Adenocarcinomas in Resection Specimens

- 1. Preinvasive lesions
  - Atypical adenomatous hyperplasia
  - Adenocarcinoma in situ ( $\leq$ 3 cm, formerly Bronchioloalveolar carcinoma)
    - Nonmucinous
    - Mucinous
    - Mixed mucinous/nonmucinous
- Minimally invasive adenocarcinoma (≤3 cm lepidic predominant, ≤ 5mm invasion) Nonmucinous
  - Mucinous
  - Mixed mucinous/nonmucinous
- 3. Invasive adenocarcinoma
  - Lepidic predominant (formerly nonmucinous BAC pattern, with > 5mm invasion) Acinar predominant
  - Papillary predominant
  - Micropapillary predominant
  - Solid predominant with mucinous production
- 4. Variants of invasive adenocarcinoma

Invasive mucinous adenocarcinoma (formerly mucinous BAC) Colloid Fetal (low and high grade) Enteric

Adenocarcinoma, NOS is histologically an invasive lung adenocarcinoma that consists of monomorphic malignant glandular cells with conspicuous nucleoli or pleomorphic malignant cells with prominent nucleoli. (Figs.3.8).



**Figs.3.8**. Histology of invasive lung adenocarcinoma: Tumor with acinar pattern (A). Tumor with solid pattern (B).
# The cytologic manifestations of bronchogenic adenocarcinomas are somewhat similar in sputum and in materials obtained by BW, BB and FNA. (Figs. 3.9 to 3.12).

- Malignant glandular cells are present predominantly in small groups.
- Cells from a well-differentiated tumor show fairly uniform nuclei with smooth nuclear contours and conspicuous nucleoli.
- Cells from a poorly differentiated adenocarcinoma are more pleomorphic and show single or multiple macronucleoli.
- Intracellular mucus may be demonstrated with mucicarmine or PASD.

Bronchogenic adenocarcinoma cells are CEA, CK7, Napsin A and TTF-1 positive and p63 and CK20 negative.

Pitfalls in the cytodiagnosis of bronchogenic adenocarcinoma include Creola bodies, numerous goblet cells misinterpreted as mucinous adenocarcinoma, atypical pneumocytes, cells with viral cytopathic changes, and reactive mesothelial cells seen in TTFNA.





**Figs.3.9**. A. Well-differentiated adenocarcinoma showing in sputum clustered monomorphic tumor cells with vacuolated cytoplasm and conspicuous nucleoli. (CP, Pap). B. Poorly differentiated adenocarcinoma showing in sputum clustered pleomorphic malignant glandular cells with macronucleoli. (CP, Pap).



**Fig.3.10**. Sputum CB showing a cluster of malignant glandular cells with vacuolated cytoplasm. (HE).



**Figs.3.11**. A. Lung adenocarcinoma showing in TTFNA a cohesive cluster of malignant cells with prominent nucleoli. (CP, Pap). B. Lung adenocarcinoma in TBFNA (LBC, Pap).





**Figs.3.12**. Invasive, low-grade lung adenocarcinoma showing in BB: A-C. Monomorphic cuboidal tumor cells with eccentrically located nuclei, nucleoli and well-defined, granular cytoplasm are present singly and in cohesive sheets. (LBP, Pap). D. A cluster of tumor cells in BB CB showing TTF-1 positive nuclei. (ABC).

**Lung adenocarcinoma with lepidic growth pattern** (ADL, previously called nonmucinous bronchioloalveolar carcinoma) is rarely encountered. It can be unifocal or multifocal and is characterized by cuboidal or low columnar tumor cells with conspicuous nucleoli growing along preexisting alveolar walls. The mucinous bronchioloalveolar carcinoma was renamed as mucinous adenocarcinoma in the 2011 IALC/AST/ERS proposed classification of lung adenocarcinomas. (Figs.3.13).

#### Cytologic features of lung ADL in sputum, BW, BB, FNA: (Figs.3.14 to 3.16).

- In sputum or BW, small cuboidal tumor cells with oval nuclei are seen predominantly in tridimensional papillary clusters and cell balls.
- Material obtained by BB or FNA is cellular and the tumor cells are commonly seen in large monolayered sheets with nuclear crowding and overlapping.
- Regular and monotonous relatively small cells with ample cytoplasm.
- Vesicular nuclei with prominent nucleoli, hyperchromatic nucleoli.
- Intranuclear cytoplasmic inclusions may be present.
- Clean mucoid background.
- Tumor cells are TTF-1 negative and may express surfactant proteins (SP-A, pro-SP-B, pro-SP-C).





**Figs.3.13.** Histology of lung adenocarcinoma: A. Adenocarcinoma with lepidic growth pattern (formerly nonmucinous bronchioloalveolar carcinoma). B. Mucinous adenocarcinoma (formerly mucinous bronchioloalveolar carcinoma). (HE)





**Figs.3.14**. A. An ADL exfoliates in sputum a cohesive cluster of tumor cells with nuclear crowding and molding. (CP, Pap). B. A similar cluster of tumor cells with relatively uniform, monotonous nuclei from an ADL in BW. (LBP, Pap).





**Figs. 3.15**. A. Mucinous adenocarcinoma showing in TTFNA a cohesive sheet of mucussecreting tumor cells with nuclear crowding. (CP, Pap). B. Mucinous adenocarcinoma in TTFNA CB (HE).





**Figs. 3.16**. Lung ADL showing in TTFNA tumor cells predominantly in irregular, large, cohesive sheets (A). At higher magnification focal glandular spaces, crowded tumor cells with slightly pleomorphic nuclei and conspicuous nucleoli are observed, as well as intranuclear cytoplasmic inclusions (B). (CP, Pap).

**Fetal adenocarcinoma** is a rare, related to cigarette smoking tumor and commonly occurs in 5<sup>th</sup> or 6<sup>th</sup> decade of life. It usually pursues a less aggressive clinical course. Histologically, the tumor is composed of low-grade malignant glandular cells arranged in acinar pattern. Focal tumor cell morulae containing intracytoplasmic neurosecretory granules, as demonstrated by electron microscopy and by IHC staining with neuron-specific enolase and chromogranin antibodies, are present. In one case the tumor TTFNA revealed large monolayered and folded sheets of low-grade malignant columnar epithelial cells with clear or granular cytoplasm and uniformly oval, small nuclei with inconspicuous nucleoli. Focal glandular arrangement may be visualized within a tumor cell sheet. (Figs.3.17)





**Figs.3.17**. Fetal adenocarcinoma, low-grade: A. Tumor forming intraglandular morules. B. Tumor cells in morule showing chromogranin positive cytoplasm. (ABC). C. Tumor showing in TTFNA a large sheet of tumor cells with honeycomb pattern. Round glandular space is noted elsewhere. (CP, Pap).

## Small cell carcinoma

Small cell carcinoma or "oat cell carcinoma" accounts for about 20% of all primary lung cancers. The tumor is related to cigarette smoking and may be associated with a paraneoplastic syndrome such as diabetes insipidus or Cushing syndrome. It arises most commonly from major bronchi and has a rapid growth with early hilar lymph node and distant metastases. About 70% of patients with small-cell carcinoma are at an advanced stage when the tumor is first detected. Rarely, a small cell carcinoma presents as a "coin lesion".

Histologically, the tumor has a solid growth pattern with extensive necrosis. The tumor cells are small, two to three times the size of a mature lymphocyte and show scant cytoplasm, oval nuclei with finely granular chromatin pattern and inconspicuous nucleoli. Nuclear molding is a prominent feature, the mitotic index is high and tumor necrosis is a common finding. (Fig.3.18). In some cases the neoplasm is of intermediate cell type and it is composed of tumor cells that are larger than those of the classic small cell carcinoma, but the tumor cells essentially show the nuclear features of a classic small cell cancer. Small cell carcinoma may coexist with a nonsmall cell carcinoma.



Fig.3.18. Histology of a small cell carcinoma.

Cytologically, the tumor cells are seen singly, in groups or along mucus threads with nuclear molding in sputum and materials obtained by BW. Most tumor cells are necrotic and show pyknotic and darkly stained nuclei. The smear background contains linear basophilic necrotic debris. In BB and FNA the tumor cells are well-preserved and display a salt and pepper chromatin pattern with inconspicuous nucleoli. (Figs.3.19 to 3.23).

#### Cytologic features of small cell carcinoma in sputum, BW, BB and FNA:

#### Sputum and BW:

- Small dissociated tumor cells.
- Scant cytoplasm.
- Nuclear molding.
- Coarsely stipped chromatin.
- Inconspicuous nucleoli.
- Degenerative changes, common.

#### BB and FNA:

- Better preserved larger cells than in sputum.
- Some nuclear molding and cell clustering.
- Open chromatin pattern.
- Nucleoli visible.
- Artefactual crushed basophilic nuclear debris.

Cells from a bronchogenic small cell carcinoma are CK7, chromogranin, synaptophysin, CD56 and TTF-1 positive and CK20 negative.

Pitfalls in the diagnosis of small cell carcinoma include hyperplastic reserve cells, pools of lymphocytes, small cell adenocarcinoma cells, lymphoma cells, carcinoid tumor cells, cells derived from small blue cell tumors (Ewing sarcoma, Wilms tumor, neuroblastoma, embryonal rhabdomyosarcoma, pleuropulmonary blastoma) and droplets of condensed mucus.



**Figs.3.19**. A. Small cell carcinoma showing in sputum clustered small tumor cells with scant cytoplasm, oval nuclei and no nucleoli. Nuclear molding is noted in some tumor cell clusters. B. The tumor showing in BW loosely aggregated tumor cells. (CP, Pap).



**Figs.3.20**. A. Small cell carcinoma showing in BB tumor cells with salt and pepper chromatin pattern and linear, basophilic nuclear debris. B. Small cell carcinoma, intermediate cell type showing in BB larger tumor cells and crushing, linear, basophilic nuclear debris. (CP, Pap).



**Figs.3.21**. A, B. Small cell carcinoma showing single and clustered small cancer cells. Minimal nuclear molding is noted in some cell clusters, but basophilic linear nuclear debris is not present, as seen in CP smears. (LBP, Pap).



**Figs.3.22**. A, B. Small cell carcinoma showing in TTFNA isolated and clustered malignant cells with hyperchromatic nuclei and nuclear molding. (CP, Pap).





**Figs.3.23**. A. Small cell lung cancer showing in BB CB a cluster of tumor cells with nuclear molding. (HE). B. TTF-1 positive tumor cells. C. Chromogranin positive tumor cells. (ABC).

## Large cell carcinoma

Large cell carcinomas constitute about 10% of all bronchogenic carcinomas and most of these tumors arise from segmental or lobar bronchi. Histologically, the tumor is composed of large malignant cells with abundant, granular cytoplasm and macronucleoli and shows no squamous or glandular cell differentiation.

In cytologic materials of all types (sputum, BW, BB and FNA) the tumor cells are seen singly and in loose or cohesive aggregates. These are large malignant cells with variably abundant cytoplasm, large nuclei with single or multiple eosinophilic macronucleoli. (Figs.3.24). Cells from a bronchogenic large cell carcinoma are usually CEA, CK7 and TTF-1 positive and CK20 negative.



**Figs.3.24**. A. Large cell carcinoma showing in BW clustered large tumor cells with macronucleoli. (CP, Pap). B. An aggregate of cells from a large cell carcinoma as seen in a TTFNA sample. (LBP, Pap).

Lymphoepithelial carcinoma is a rare morphologic variant of large cell carcinoma of the lung. The tumor presents as a peripheral lung nodule and yields in FNA single and

cohesive sheets of pleomorphic malignant epithelial cells with prominent nucleoli and numerous lymphocytes.

**Large cell neuroendocrine carcinoma** (LCNEC) is rare and highly aggressive tumor occurring in adults with a median age of 64 years. The neoplasm may be centrally or peripherally located and averages 3 cm in greatest dimensions. Histologically, LCNEC consists of large pleomorphic malignant cells arranged in neuroendocrine pattern with focal rosette formation. Mitotic figures are abundant and large geographic necrosis is common. The tumor cells express neuroendocrine markers.

In cell samples obtained by BB or FNA the tumor cells are seen singly and in loose aggregates. They are large, pleomorphic and display well-defined, granular cytoplasm and oval nuclei with granular chromatin pattern and prominent nucleoli, mimicking those of a large cell carcinoma. Naked tumor cell nuclei and necrotic debris may be observed. Tumor cells arranged in rosettes and linear pattern may be observed. (Figs.3.25). Staining with NSE, synaptophysin, chromogranin and CD56 antibodies will be helpful for confirming the neuroendocrine differentiation of the tumor cells. About 50% of LCNECs are TTF-1 positive.





**Figs.3.25**. Large cell NE carcinoma. A. Histology of the tumor. B. The tumor showing in TTFNA large, pleomorphic malignant epithelial cells with abundant cytoplasm, oval nuclei and prominent nucleoli. Some cells show a plasmacytoid configuration. (DQ)

## Sarcomatoid carcinoma

Giant cell or spindle cell sarcomatoid carcinoma is a rare variant of large cell carcinoma (1%) with a very poor prognosis. Histologically, these 2 tumors are characterized by giant, bizarre malignant cells with single or multiple nuclei or spindle malignant cells. A giant cell carcinoma yields in sputum, BW, BB and FNA single and loosely clustered giant, bizarre malignant cells with variably abundant cytoplasm, single, multiple, lobulated nuclei with macronucleoli. (Figs.3.26 and 3.27).





**Figs.3.26**. A. Histology of giant cell carcinoma. B, C. The tumor showing in BB large multinucleated malignant cells. (CP, Pap).





**Figs.3.27**. Large cell carcinoma, spindle cell variant. A. Histology of the tumor. B. The tumor showing in TTFNA dissociated spindle malignant cells. (CP, Pap)

Important comparative cytologic and immunocytochemical features of usual bronchogenic carcinomas are tabulated in Table 3.1.

**Table 3.1.** Comparative Cellular, Histochemical and Immunohistochemical Features of

 Usual Bronchogenic Carcinomas\*\*

CELLULAR FEATURES	SQUAMOUS CARCINOMA	ADENOCARCINOMA	LARGE CELL CARCINOMA	SMALL CELL CARCINOMA
Arrangement	Singly Syncytia Sheets	Acini Aggregates, Sheets Papillary clusters Cell balls	Singly or Aggregates	Singly, Clusters, Loose
Tumor cell configuration	Pleomorphic	Columnar Cuboidal	Polygonal, Pleomorphic, Giant cells	Small, Round, Oval
Cytoplasm	Well-defined, abundant, keratinized III-defined, variable, nonkerati- nized	Vacuolated Granular	Variable	III-defined, Scant
Nucleus	Central, Bizarre Chromatin, clumped	Central/Eccentric Oval Chromatin, clumped or fine	Central/Eccentric Oval Single or Multiple	Central Chromatin, fine Nuclear molding
Nucleolus	Variable	Macronucleoli, Variable	Macronucleoli	Absent
Cellular mucin	-	+	±	-
Immunohistochemistry				
TTF-1	-	+	+	+
p63	+	-	±	-
Chromogranin	-	-	_*	+
Synaptophysin	-	-	-*	+
CD56	-	-	-*	+
CK7/CK20	CK/-/CK20-	CK/+/CK20-	CK/+/CK20-	CK/+/CK20-

\*\*Adapted from Nguyen GK, Kline TS: Essentials of Cytology. An Atlas. New York, Igaku-Shoin. 1993, p. 44, with modification. \* Positive in Large cell carcinoma with neuroendocrine differentiation.

## Classification of lung cancers in small biopsies and cytologic materials

Bronchogenic carcinomas are traditionally and clinically classified as small cell lung cancer (SCLC) and NonSCLC (NSCLC) for management purposes. These 2 types of lung malignancy account for 15% and 85% of usual lung cancers, respectively. SCLCs are almost always at advanced stages at diagnosis and treated by chemotherapy. For NSCLCs, resection is reserved for localized tumors; and radiotherapy, chemotherapy and molecular targeted therapy are for unserectable and advanced tumors. Only less than 30% of NSCLCs are diagnosed at early stages, but the rate of resection is only 6 to 15%, due to co-morbid illnesses. Therefore, over 80% of NSCLCs are diagnosed only by small biopsy and/or cytology prior to treatment. The 2004 WHO classification of lung tumors is currently found unsuitable for small biopsies and cytologic specimens.

In 2011 the International Association for the Study of Lung Cancer, American Thoracic Society and European Respiratory Society has proposed a classification of lung cancer for small biopsies and cytology. In this classification the term "large cell carcinoma" is not used and it is replaced by "nonsmall cell carcinoma" or "nonsmall cell lung cancer", and IHC characteristic features of NSCLCs are emphasized and included.

#### Lung cancer classification for small biopsies and cytology

A. Adenocarcinoma

- Adenocarcinoma with identifiable pattern (papillary, micropapillary, acinar, solid, mixed)
- Adenocarcinoma with lepidic pattern
- Mucinous adenocarcinoma
- Adenocarcinoma with colloid pattern
- Adenocarcinoma with fetal pattern
- Adenocarcinoma with signet ring cell features
- Adenocarcinoma with clear cell features
- B. Squamous cell carcinoma
- C. Small cell carcinoma
- D. Nonsmall cell carcinoma (NSCC/NSCLC)
  - NSCC with NE morphology (+ NE markers), possible LCNEC
  - NSCC with NE morphology (- NE markers)
  - NSCC, with squamous cell and adenocarcinoma patterns (Adenosquamous)
  - NSCC, favor SQCC (if morphologic SQCC absent, and supported by IHC)
  - NSCC, favor ADA (if morphologic ADA absent, and supported by IHC)
  - Poorly differentiated NSCC with spindle & giant cell carcinoma features
  - NSCC, NOS (specify IHC stain results with interpretation)

Diagnosis of lung cancers in small biopsies and cytologic materials can be made based on the tumor histology and tumor cell morphology with or without histochemical and/or IHC characteristics. According to some recently published reports, the light microscopy can accurately type 75% of HE stained small biopsies and 80 to 90% of Pap stained cytologic preparations. Histochemical and/or IHC studies are necessary for subclassification in the remaining 25% biopsies and 10 to 20% cell samples. Four antibodies are commonly used to distinguish an adenocarcinoma from a squamous cell carcinoma: TTF-1, Napsin A, p63 and CK5/6. A positive reaction to TTF-1 and Napsin A and a negative reaction to p63 and CK5/6 of the tumor cells indicate a lung adenocarcinoma while cells of a squamous cell carcinoma usually express p63 and CK5/6. (Figs.3.28 and 3.29). It should be born in mind that benign type II pneumocytes express TTF-1, and that CK5/6 and p63 antibodies stain positively normal basal bronchial epithelial cells. Alveolar macrophages and type II pneumocytes also express Napsin A.

In the above lung cancer classification the term "NSCC-NOS" is advised to be used as little as possible, and it should only be used if no clear differentiation by morphology, histochemistry or IHC is found, and when the morphology and IHC results are conflicting.





**Figs.3.28**. Nonsmall cell carcinoma, favor squamous cell carcinoma: Fragment of tumor tissue that is difficult to be differentiated from a poorly differentiated adenocarcinoma (A). Tumor cells displaying positive cytoplasmic reaction to CK5/6 antibody (B). Tumor cells showing positive nuclear staining with p63 antibody (C). (ABC).





**Figs.3.29.** Nonsmall cell carcinoma, favor adenocarcinoma: A minute tumor tissue fragment (A) in BW material from a case of bronchial nonsmall cell carcinoma showing Napsin A positive cells (B) and TTF-1 positive nuclei (C). (ABC)

## Molecular testing and molecular target therapy of lung cancer

A number of molecular abnormalities are present in about 50% of NSCLCs and include EGFR mutation (about 10% in Caucasian versus 50% in Asian), KRAS mutation (up to 30% in Caucasian versus 15% in Asian), anaplastic lymphoma kinase (ALK) translocation (about 7% in Caucasian versus 5% in Asian), and other rare mutations of BRAF, MET, HER2 and PIK3CA genes (about 1 to 2% each). These abnormalities exist in a mutually exclusionary fashion each other except PIK3CA gene and show some racial variations.

For EGFR testing, the test is ordered at morphologic diagnosis of NSCLC and biopsied samples are preferred over cytology specimens. Recent studies have demonstrated that routinely stained recent or archived cytologic smears are also suitable for DNA extraction for EGFR and KRAS mutations testing. Tested materials are best fixed 10% neutral buffered formalin and should contain a high number of tumor cells. However, if a higher sensitive technique is used a lower percentage of tumor cell content is acceptable. Two methods of molecular testing are currently used for EGFR mutation testing: DNA sequencing and amplified refractory mutation system (ARMS) method. The

minimum number of tumor cells optimal for mutational analysis is not known with certainty. However, over 50% of tumor cells per sample are adequate for DNA sequencing. If the ARMS method (using PCR technique and more sensitive than DNA sequencing) is used,  $\leq 10\%$  of tumor cells may be adequate. Usually, a manual dissection of tumor cells is needed to obtain a sample with high tumor cell contents.

The number of tumor cells in FNA and bite biopsy varies with the size of the biopsy needle and number of biopsy:

- For FNA, if a 21-gauge needle is used, the number of tumor cells is usually ≥ 100 per aspirate.
- If a 19-gauge needle is used, the number of tumor cells is  $\geq$ 150 per FNA.
- For transbronchial tissue biopsy: the number of tumor cells is usually ≥300 per biopsy.
- For CT-guided biopsy the number of tumor cells is  $\geq$  500 per biopsy.

The diagnostic process usually takes 5 to 7 working days to complete. A few commercially available kits are currently used in lung cancer EGFR molecular testing using either DNA sequencing technique or ARMS method with PCR. KRAS mutation analysis using FISH technique and ALK translocation testing using IHC are also commercially available.

NSCLCs may show some molecular alterations that are mutually exclusive, and the most important ones are:

- <u>EGFR mutations</u>. Tumors with EGFR mutations are treated, in their first line treatments, with EGFR inhibitors (erlotinib and gefetinib). Over 60% of patients with EGFR positive NSCLC respond to this type of drug and show an increase in medium survival to 27 to 30 months. EGFR-positive NSCLCs are found in 10 to 15% White and 30 to 40% Asian non-smokers.
- <u>EML4-ALK fusion gene product</u> is found in about 5% of cases, mainly in nonsmoking or slightly smoking younger patients with NSCLC with lung adenocarcinoma or NSCLC with glandular differentiation. Crizotinib (ALK and MET inhibitor) has been use for treatment of this type of lung cancer.
- <u>KRAS mutation</u> is found in about 25% of smoking patients with lung adenocarcinoma. It indicates a non-response to EGFR inhibitor agents and a poor prognosis.

Important cytologic, IHC and molecular features of bronchogenic/lung adenocarcinoma and squamous cell carcinoma are summarized in Table 3.2.

**Table 3.2.** Comparative Cytologic, Histochemical, IHC and Molecular Features of Bronchogenic/Lung Adenocarcinoma and Squamous Cell Carcinoma.

Cytologic, Cytochemical, IHC and Molecular features	Lung Adenocarcinoma	Lung Squamous cell Carcinoma	
Glandular arrangement (acinar,	+	-	
papillary, ball-like, picket-fence,			
honeycomb)			
Cell streaming or layering	-	+	
Abundant necrosis and	-	+	
Single cell ghosts			
Large cell groups with frayed	-	+	
borders			
Keratinized cytoplasm (orange,	-	+ , WDT	
red, glassy blue cytoplasm in Pap		-, PDT	
stain; "robin egg" blue in DQ			
stain)			
Vacuolated cytoplasm	+	-, WDT	
		+ or -, PDT	
Non-transparent, "ink-dot"	-	+ , WDT	
chromatin/nuclei		-, PDT	
Fine, transparent	+	-, WDT	
chromatin/nuclei		+, PDT	
TTF-1, Napsin A, Mucin	+	-	
p63, CK5/6, High molecular	-	+	
weight cytokeratin			
EGFR or KRAS mutations	±	-	

\* WDT, well-differentiated tumor; PDT, poorly differentiated tumor; +, present; -, absent.

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Chapter 4

# Carcinoid tumors

Pulmonary neuroendocrine (NE) neoplasms are one of the most complicated and confusing topics in human pathology. The histogenesis of these neoplasms has been controversial, and their classification has undergone several revisions. According to Travis, the spectrum of lung NE tumors includes:

A. Tumors with NE morphology:

- Typical carcinoid tumor
- Atypical carcinoid tumor
- Large cell NE carcinoma (LCNEC) and combined LCNEC
- Small cell carcinoma and combined small cell carcinoma
- B. Nonsmall cell carcinoma with NE differentiation
- C. Other tumors with NE properties:
  - Pulmonary blastoma
  - Primary neuroectodermal tumor
  - Desmoplastic round cell tumor
  - Carcinomas with rhabdoid phenotype
  - Paraganglioma

In this chapter, only the cytologic manifestations of typical and atypical carcinoid tumors are presented and discussed.

# **Typical carcinoid tumor**

Typical carcinoid tumors (TCT) of the lung account for 1 to 2% of all primary lung cancers, occur in all age groups (20 to 70 years) and affect men and women equally. About 80% of TCTs are centrally located and 10 to 20% of them are found in the periphery of the lung. At initial diagnosis, metastasis to hilar lymph nodes is present in about 20% of cases. TCT usually pursues an indolent course and the 5-year-disease-free survival rate is about 100%.

TCT is usually covered with an intact bronchial or metaplastic squamous epithelium and it is composed of uniform small round or cuboidal cells arranged in NE growth patterns. The tumor cell nuclei are oval and show a granular chromatin pattern, conspicuous nucleoli, and a scant or moderate amount of pale, clear or eosinophilic cytoplasm. Peripheral TCTs are well-circumscribed, non-encapsulated and generally unrelated to the bronchial tree. These uncommon peripheral tumors account for about 5% of all pulmonary carcinoid tumors and are usually composed of uniformly spindle cells with oblong nuclei showing a granular chromatin pattern and inconspicuous nucleoli. Areas showing a TCT may be present elsewhere within the tumor. Fewer than 2 mitoses per 2 mm<sup>2</sup> and no necrosis are present. (Figs. 4.1).



Figs.4.1. A, B. Histology of two typical carcinoid tumor. (HE).

TCT cells may be detected in sputum and BW if the overlying bronchial mucosa is destroyed by ulceration or tumor invasion. BB, TTFNA or TBFNA are effective means to diagnose carcinoid tumors.

The cytologic manifestations of a TCT in cell samples obtained by BB and FNA have characteristic features that are diagnostic of the tumor. (Figs.4.2 and 4.3).

- The tumor cells are seen singly, in loose aggregates or syncytial clusters.
- They are polygonal in shape and show either a well-defined, moderately abundant, granular cytoplasm or an ill-defined, scant, pale cytoplasm.
- The nuclei are oval in shape and show a granular chromatin pattern and conspicuous nucleoli, and nuclear molding is rarely observed.
- Tumor cells wrapping around capillary blood vessels may be present.
- Tumor cell cytoplasm stains positively with neuron-specific enolase, synaptophysin, chromogranin and CD56 antibodies.

It is important to note that the tumor cell nuclei of central TCTs show some similarities with those of benign bronchial glandular epithelial cells. Therefore, cautions should be exercised when interpreting naked nuclei in cell samples taken by BB or FNA.

A TCT may show oncocytic changes and yields cells with abundant, granular and eosinophilic cytoplasm mimicking those of a granular cell tumor. Occasionally, a TCT is composed of cells with large intracytoplasmic vacuoles and it yields in TBFNA cells mimicking those of a signet ring cell adenocarcinoma. IHC staining of the tumor cells with neuron-specific enolase, synaptophysin, chromogranin and CD56 antibodies will be helpful for confirmation of the NE nature of the tumor.







**Figs.4.2**. Typical carcinoid tumor showing in: A. Sputum, monomorphic tumor cells with round nuclei and scant cytoplasm. B. BB, dyshesive monomorphic tumor cells with plasmacytoid configuration. C. TBFNA, single and clustered monomorphic tumor cells. (CP, Pap). D. Single and clustered tumor cells aspirated from a typical carcinoid tumor showing immunopositive cytoplasmic reaction to chromogranin antibody. (CP, ABC).





**Figs.4.3**. A, B. TBFNA of a typical carcinoid tumor showing tumor cells wrapping around a capillary blood vessel. (CP, Pap).

**Peripheral TCT with spindle cells** yields in FNA randomly arranged uniform, spindle tumor cells with oval or spindle nuclei displaying a granular chromatin pattern and inconspicuous nucleoli. (Figs. 4.4).





**Figs.4.4**. Peripheral spindle cell typical carcinoid tumor: A. Histology of the tumor. B. Tumor showing in TTFNA dyshesive spindle tumor cells with elongated nuclei and scant cytoplasm in no specific pattern. (CP, Pap).

Cells from a central TCT should be differentiated from hyperplastic reserve cells, lymphoid cells, cells from a small cell adenocarcinoma or small cell carcinoma. Cells from a spindle cell tumor may be mistaken for those of a metastatic melanoma, spindle cell squamous cell carcinoma, metastatic thyroid medullary carcinoma, spindle cell thymoma and soft tissue tumors. IHC studies of CB sections will be helpful for a firm diagnosis of ACT in difficult cases.

# Atypical carcinoid tumor

Atypical carcinoid tumors (ACT) are rare neoplasms and account for less than 25% of all pulmonary carcinoid tumors. At initial diagnosis 70% of patients with ACT already have hilar lymph node metastasis, and distant metastasis is present in about 20% of the cases. The treatment of choice for an ACT is surgical resection. Post-operative adjuvant chemotherapy with or without radiotherapy has limited affects, and the 5-year survival rate is about 70%.

ACTs are composed of more pleomorphic and larger tumor cells arranged in NE patterns. Two to 10 mitoses per 2 mm<sup>2</sup> and/or foci of necrosis, often punctuate, are present. On the other hand an ATC may contain cells similar to those of a TCT, but the

number of mitosis is higher than in TCT and punctuate necrosis is present. These features can only be observed in surgically removed tumor but not in small biopsied tissue fragments or in cytologic materials. ATCs display above-mentioned IHC characteristic features as seen in TCTs.

As in TCT, an ACT may be covered by an intact bronchial mucosa, and therefore, it may not exfoliate any tumor cells in sputum. In materials obtained by BB or FNA the tumor cells are seen singly and in loose or tight aggregates. Nuclear pleomorphism with granular chromatin pattern and conspicuous nucleoli are prominent features. (Figs.4.5). As an ACT may have an endobronchial component that is composed of a TCT it may show in BB only cells with features of a TCT.







**Figs.4.5**. A. Histology of an ACT showing more pleomorphic neoplastic cells. B and C, an ACT showing in TBFNA more pleomorphic tumor cells. Small and conspicuous nucleoli are present in some tumor cells. (CP, Pap). D, Pleomorphic tumor cells of an ACT. (LBP, Pap).

Cells of TCT and ACT should be differentiated from normal bronchial glandular cells, cells derived from a low-grade bronchial adenocarcinoma and cells of a small cell lung cancer. It is important to note that cells derived from a small cell lung cancer may simulate those of a carcinoid tumor. Therefore, staining of the tumor cells with a proliferative cell marker such as Ki-67 or MIB1 may provide useful information for tumor grading and typing, as over 50% of tumor cells from a small cell carcinoma show a positive nuclear reaction with Ki-67 antibody while fewer than 25% of tumor cells derived from a TCT or ACT react positively with this antibody.

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Chapter 5

# Other primary tumors and tumor-like lesions of the lung

# Malignant lung tumors

#### Salivary gland tumors

Bronchial gland carcinomas are rare neoplasms occurring in adult patients. These neoplasms may manifest with hemoptysis or bronchial obstruction with distal lung infection. These tumors account for about 1% of all primary lung cancers and consist of two main lesions: Adenoid cystic carcinoma and mucoepidermoid carcinoma.

Adenoid Cystic Carcinoma is the most common salivary gland-like tumor of the lower respiratory tract and it accounts for about 0.2% of all primary lung cancers. The neoplasm usually arises from the trachea, stem bronchi or lobar bronchi and the patient's age ranges from 18 to 79 years. It is a less aggressive neoplasm and has a distinct histologic pattern of growth consisting of cribiforms and glandular arrays or tubules surrounding central spaces filled with epithelial mucin. The tumor yields in BB and TBFNA single and clustered small, round tumor cells with scant cytoplasm and round basophilic bodies. Tumor cells wrapping around basophilic bodies constitute a diagnostic feature of the lesion. (Figs.5.1 and 5.2).





**Figs.5.1**. A. Histology of bronchial adenoid cystic carcinoma. B, C. TBFNA of a bronchial adenoid cystic carcinoma showing ball-like clusters small cuboidal neoplastic cells wrapping around eosinophilic round bodies. Two round bodies without wrapping cells are noted in B. (DQ).





**Figs.5.2**. A, B. Bronchial adenoid cystic carcinoma showing in TBFNA irregular and round clusters of small cuboidal cells wrapping around eosinophilic bodies. (LBP, Pap). C. CB from the needle aspirate reveals minute tumor tissue fragments with histologic features of an adenoid cystic carcinoma described above. (HE)

**Mucoepidermoid Carcinoma** is a rare tumor comprising 0.1 to 0.2% of all primary lung carcinomas. The patients range in age from 4 to 78 years but about 50% of patients are less than 30 years old. The tumor most commonly arises from the main or lobar bronchus and can measure up to 6 cm in greatest dimension. Histologically, it consists of a variable population of mucus-secreting cells, squamous cells and intermediate cells that display no particular characteristics. Bronchial mucoepidermoid carcinomas can be classified as low- and high-grade tumors, depending on the degree of cellular atypia. About 75 to 80% of mucoepidermoid carcinomas arising from the lung are of low histologic grade.

A low-grade tumor yields in FNA single and clustered benign-appearing squamous cells admixed with benign-appearing mucus-secreting cells. A variable number of intermediate cells may be present. A high-grade tumor yields loosely clustered malignant squamoid cells with intracytoplasmic mucus. (Figs.5.3 and 5.4).





**Figs.5.3**. Low-grade mucoepidermoid carcinoma. A. Histology of the tumor. B. Tumor FNA loosely clustered squamoid tumor cells with some cells showing vacuolated "clear" cytoplasm. Small cuboidal tumor cells in the lower part of the figure are of intermediate type. (CP, Pap).





**Figs.5.4.** High-grade mucoepidermoid carcinoma: A. Histology of the tumor. B. Tumor TBFNA showing clustered malignant squamoid cells with intracytoplasmic mucus that stains positively with PASD. (CP, PASD).

#### Soft tissue sarcomas

Primary lung soft tissue sarcomas are exceedingly rare neoplasms and account for less than 1% of all primary lung cancers. Almost all histologic types of soft-tissue sarcoma have been reported in the lung. Of the primary lung sarcomas, leiomyosarcoma is the most common one. The tumor occurs mainly in adults and rarely in children.

**Lung leiomyosarcoma** may arise from a bronchus or from intraparenchymal blood vessels. Its cytologic manifestations in BB and in FNA are similar and consist of scattered loosely aggregated, elongated slightly pleomorphic, hyperchromatic, naked tumor cell nuclei with blunt ends. Bundles of malignant tumor cells may be present. (Figs.5.5).



**Figs.5.5.** Well-differentiated leiomyosarcoma: A. TTFNA showing single and loosely clustered tumor cells with elongated nuclei with blunt ends. B. BB showing bundles of malignant smooth muscle cells with enlarged, elongated or oval nuclei. (CP, Pap).

**Embryonal or alveolar rhabdomyosarcoma** of the lung yields in TTFNA malignant small round cells with scant cytoplasm. (Fig.5.6). A positive reaction of the tumor cell cytoplasm with MyoD1 or myogenin antibody will be helpful for a more accurate tumor typing.



**Fig.5.6**. Primary lung embryonal rhabdomyosarcoma showing in TTFNA small round malignant cells with hyperchromatic nuclei and scant cytoplasm. (CP, Pap).

## Hematologic malignancy

Primary NonHodgkin lymphoma (NHL) and Hodgkin disease (HD) of the lung are rare neoplasms. However, metastatic NHL and HD to the lung are noted in 20 to 50% of patients with those tumors during their clinical courses. In patients with leukemia about 10% of diffuse lung infiltrations are caused by leukemic involvement, and rarely a mass lesion is observed. Multiple myeloma and mycosis fungoides rarely involve the lung. Lung NHL may be diagnosed cytologically by BAL or FNA with adjunct cell marker study and flow cytometry. (Figs.5.7). The cytodiagnosis of HD is based on the identification of Reed-Sternberg cells.



**Figs.5.7**. A. Histology of primary NonHodgkin lymphoma. B. Lung B-cell NHL showing in BB dissociated, monomorphic malignant lymphoid cells with conspicuous nucleoli and scant cytoplasm. (CP, Pap).

# Benign tumors and tumor-like lesions of the lung

#### Hamartoma

Lung hamartoma most commonly occurs in the 6<sup>th</sup> decade of life. It is usually asymptomatic, often discovered incidentally by chest roentgenograms and usually located in the peripheral zone of the lung. If located in a bronchus it may cause bronchial obstruction with distal bronchial infection. The lesion is well-circumscribed, lobulated and usually measures 2 cm in greatest dimension. It is formed by elements that are normally present in the lung such as cartilage, fibromyxoid connective tissue, fat, smooth muscles and respiratory epithelium. It shows in TTFNA an admixture of the above-mentioned cytologic elements. (Figs. 5.8).





**Figs.5.8**. Lung hamartoma. A. Histology of the tumor. B. Tumor TTFNA showing in myxoid material, chondrocytes and clusters of benign bronchial glandular cells. (CP, Pap). C. Large fragment of benign cartilaginous tissue. (CP, Pap).

#### Granular cell tumor

This is a rare benign neoplasm arising from the Schwann cell. In over 90% of the cases, the tumor has an endobronchial component, and in less than 10% of patients it presents as a parenchymal lesion and appears on chest roentgenograms as a "coin lesion". It yields in BB and TBFNA sheets of benign tumor cells with eosinophilic, granular, PAS-positive cytoplasm and small, oval nuclei with conspicuous nucleoli. (Figs.5.9).





Figs.5.9. Bronchial granular cell tumor: A. Histology of the tumor showing cells with granular and PAS-positive cytoplasm. B. A thick fragment of tumor tissue in a TBFNA showing benign neoplastic cells with oval nuclei and ill-defined, granular cytoplasm. (A: PAS; B: Pap).

#### Clear cell (Sugar) tumor

This rare neoplasm is most likely arising from perivascular epithelioid cells and occurs in all age groups. The tumor is usually asymptomatic, peripherally located and measures from 1 to 7 cm in greatest dimension. Histologically, it consists of benign-appearing polygonal or spindle tumor cells with oval or elongated nuclei and clear cytoplasm. (Figs.5.10). The tumor cell cytoplasm expresses S-100 protein, HMB-45 and Melan A, and it is negative for cytokeratins and CEA. This tumor yields in FNA clustered polygonal and spindle cells with oval or elongated bland nuclei and clear cytoplasm. Intracytoplasmic glycogen can be demonstrated by staining of the tumor cells with PAS reagent. These cells should be differentiated from those of a clear cell carcinoma (primary and metastatic) and melanoma.



**Figs.5.10**. Benign clear cell (sugar) tumor: A. Histology of the tumor. B. An aggregate of benign epithelial-like tumor cells with round or oval nuclei and thin, ill-defined, semitransparent or clear cytoplasm. (CP, Pap).

#### Squamous cell and glandular cell papillomas

These tumors are very rare benign endobronchial lesions that may cause hemoptysis or bronchial obstruction with distal bronchial and pulmonary infection. The squamous cell papilloma may be solitary, multiple, exophytic or endophytic. Solitary squamous cell papilloma is seen mainly in men in their fifth decade of life and is more commonly exophytic. It may be associated with HPVs of both low and high risks subtypes. Cytologically, benign squamous cells and glandular cells are seen in materials obtained by BW and BB. The squamous cell papilloma associated with HPV infection may show histologic features of a papillary condyloma and yields in bronchial cytologic materials dyskaryotic squamous cells with perinuclear halos. (Figs.5.11). The glandular cell papilloma exfoliates benign bronchial glandular cells and cannot be identified cytologically.





**Figs.5.11.** Solitary bronchial squamous cell papilloma: A,B. Histology of the tumor showing its squamous epithelial lining displaying mild dysplasia and dyskaryotic koilocytes. C. Dyskaryotic squamous cells with one showing koilocytic change in BW. (CP, Pap).

#### **Pulmonary amyloidosis**

Pulmonary amyloidosis most commonly occurs in patients over 60 years of age. It usually diffusely involves the submucosa of the tracheobronchial tree but it may appear as a parenchymal mass lesion. The bronchial lesion may mimic a submucosal tumor and yields in BB or TBFNA irregular masses of amorphous, granular, waxy material that stains slightly eosinophilic or basophilic with the Papanicolaou stain and orangeophilic with Congo red. (Figs.5.12).





**Figs.5.12.** Bronchial amyloid deposit: A. Bronchial amyloid covered with a benign metaplastic squamous epithelium. B. BB of the lesion reveals irregular, ill-defined masses of amorphous, waxy, granular and orangeophilic amyloid material. (CP, Pap).

#### Wegener granulomatosis

This is a systemic necrotizing vasculitis of unknown etiology and it is characterized by granulomatous lesions in the nose, nasal sinuses, lung and kidney. In the lung the granulomata may measure up to 5 cm in greatest dimension and may mimic a neoplasm radiologically. A TTFNA or BB of the lung lesion reveals granular debris of necrotic collagen admixed with chronic inflammatory cells. Multinucleate giant cells and epithelioid cells may be seen.

#### Inflammatory pseudotumor

It is also known as **inflammatory fibroblastic tumor** of the lung and it is a rare lesion that usually develops after a nonspecific pulmonary inflammation. It occurs in men or women, usually before the age of 40. Most of these lesions are contained within the lung and appear as a circumscribed and nodular mass consisting of an admixture of fibroblastic cells, myoepithelial cells and chronic inflammatory cells such as lymphocytes, plasma cells and macrophages. The majority of these lesions are benign, but about 5% of them are aggressive and invade adjacent structures such as

esophagus, mediastinum, diaphragm and chest walls. The above-mentioned cellular elements may be seen in TTFNA. (Figs.5.13).



Figs.5.13. TTFNA of an inflammatory pseudotumor of the lung reveals irregular bundles of fibroblastic cells admixed with scattered chronic inflammatory cells. (CP, Pap).

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## Chapter 6

# Secondary lung tumors

The lung is one of the most common sites of metastasis from extrathoracic cancers. From 20 to 60% of individuals with extrathoracic solid cancers show, at autopsy, lung metastasis; and the lung is the only site of metastasis in 15 to 25% of these cases. Carcinomas arising from the breast, prostate, testicles and kidney, cutaneous melanoma, Ewing sarcoma, osteogenic sarcoma and rhabdomyosarcoma frequently metastasize to the lung. Metastatic cancers in the lung display some distinctive patterns of metastasis such as multiple tumor nodules, lymphangitic, endobronchial, endovascular, solitary and pleural. An awareness of these macroscopic patterns of metastasis is helpful for a more accurate cytodiagnosis of secondary lung cancers.

Endobronchial metastatic cancers may exfoliate their cells in sputum and bronchoscopy cytologic specimens. Lung parenchymal deposits are best diagnosed with TTFNA and BAL may show malignant cells from a cancer with alveolar spread. The cytologic manifestations of metastatic cancers to the lung are somewhat similar in different types of pulmonary cell sample. Clinical history and a comparison of the metastatic cancers, if available, are of diagnostic help in the majority of cases.

# Cytodiagnosis of solitary metastasis

Diagnosis of solitary metastasis is important for patient care, as a second or a third primary cancer may develop in patients who had a surgically removed primary cancer many years prior. As in the liver, diagnosis of metastatic adenocarcinoma to the lung is challenging. An awareness of the incidences of metastasis of cancers arising from different organs or anatomic sites can be helpful. IHC studies of cell samples or aspirated minute tumor tissue fragments with selected antibodies are required for a more accurate tumor typing in most cases. On rare occasions, electron microscopic study of aspirated tumor tissue fragments is needed for tumor typing.

Morphologic evaluation of the FNA and routinely stained CB sections usually permit a proper classification of well-differentiated cancer cells into 4 broad categories: epithelial, lymphomatous, melanocytic and sarcomatous malignancies. However, in the case of a poorly differentiated or undifferentiated cancer, IHC studies are needed for a more accurate tumor typing. By staining with antibodies to S-100, HMB-45, AE1/AE3, calretinin, MOC-31, CEA, LCA and vimentin, poorly differentiated cancer cells may be

classified into 5 cell lines: lymphomatous, melanocytic, epithelial, mesothelial and sarcomatous. A coordinate staining of epithelial cells with CK7/CK20 antibodies, according to Bhargava and Dabbs, will further divide them into 4 different categories, each with only a few tumor types. Additional IHC expressions of some cell markers by metastatic cancer cells may further confirm the anatomic sites of their primary cancers.

- 1. CK7+/CK20+: Urothelial carcinoma and ovarian mucinous carcinoma.
- 2. CK7+/CK20-: Carcinomas of lung (small cell, nonsmall cell), breast, ovary (serous type), endometrium and thyroid; germ cell tumors and epithelioid mesothelioma.
- 3. CK7-/CK20-: Squamous cell, prostatic, renal cell and hepatocellular carcinomas.
- 4. CK7-/CK20+: Colorectal and Merkel cell carcinomas.

#### Cytologic manifestations of secondary lung cancers

#### Metastatic breast cancer

Mammary carcinomas frequently metastasize to the lung. The tumors usually yield malignant glandular cells with nonspecific features and tumor cells arranged in linear pattern may be observed. (Figs.6.1 and 6.2). These cells are usually CEA, estrogen and progesterone receptors and CK7 positive, and CK20 and TTF-1 negative.



**Fig.6.1**. Endobronchial metastatic mammary duct carcinoma showing in sputum loosely clustered malignant glandular cells with conspicuous nucleoli. (CP, Pap)



**Figs.6.2**. Metastatic mammary duct carcinoma showing in: A. TTFNA, clustered malignant glandular cells with focal nuclear crowding. Tumor cells in linear arrangement are noted elsewhere. (DQ). B. Tumor cells in a CB section showing positive nuclear staining with ER antibody. (ABC).

#### Metastatic thyroid cancer

About 15% of thyroid carcinomas metastasize to the lung. Metastatic cancers are more frequently derived from an anaplastic carcinoma than from a poorly differentiated or well-differentiated carcinoma. Metastatic papillary carcinoma shows papillary tissue fragments, sheets or groups of tumor cells with nuclear crowding, intranuclear cytoplasmic inclusions and nuclear grooves. (Figs.6.3). A follicular carcinoma yields cells in clusters with focal acinar arrangement. A Hürthle cell carcinoma shows tumor cells with granular cytoplasm singly and in monolayered sheets. A medullary carcinoma may show single and clustered polygonal and/or spindle tumor cells with elongated nuclei. Intranuclear cytoplasmic inclusions may be noted and cytoplasmic azurophil granules may be observed in tumor cells stained with MGG or DQ technique. (Fig.6.4). Cells derived from an anaplastic carcinoma are either large pleomorphic or spindle. Tumor cells from a papillary, follicular, Hürthle cell or insular carcinoma express thyroglobulin and TTF-1 and are negative for CEA while those of a medullary carcinoma stain positively with calcitonin and CEA antibodies. Cells derived from an anaplastic carcinoma do not usually express thyroglobulin or TTF-1.




**Figs.6.3**. Metastatic papillary carcinoma of the thyroid, follicular variant: A. Histology of the tumor. (HE). B. Tumor TTNA showing clustered tumor cells displaying nuclear crowding. Intranuclear cytoplasmic inclusions are observed in some tumor cells. (DQ).



**Fig.6.4.** Metastatic thyroid medullary carcinoma to the lung showing tumor cells with plasmacytoid configuration. (DQ).

#### Metastatic GI tract, pancreatic and biliary tree cancers

Metastatic tumors from a poorly differentiated adenocarcinomas arising from the stomach, small and large bowels, pancreas or biliary tree yields malignant cells with no specific features. Staining of the tumor cells with CDX2, CK7, CK20, MUC-2 and MUC-5 antibodies will be useful for determining the site of the primary tumor. Cells from a biliary or pancreatic tumor are usually monoclonal CEA positive, CDX2 negative, CK7 positive, CK20 negative and MUC-5 positive while those of colorectal origin are usually CDX2 positive, CK7 negative, CK20 positive and MUC-2 positive. Cells with signet-ring configurations are most commonly derived from an anaplastic carcinoma of the stomach. Cells from a well- or moderately differentiated colonic adenocarcinoma are seen in sheets with elongated nuclei in picket fence pattern. A large amount of necrotic debris is commonly noted in FNAs from a metastatic colonic adenocarcinoma. (Figs. 6.5). Oval or elongated tumor cells in syncytial sheets and clusters may be observed. (Figs.6.6 and 6.7).







**Figs.6.5**. Metastatic colonic adenocarcinoma to the lung: A. Histology of the tumor. (HE). B, C. Irregular sheet of tumor cells in BB showing cells in palisade at periphery. (CP, Pap). D. Small tumor tissue fragment in CB showing glandular-type cells in glandular pattern (D, HE). E. Tumor cells showing CDX2 positive nuclei. (ABC).



**Fig. 6.6.** Endobronchial metastatic colonic adenocarcinoma showing in BB a syncytial cluster of malignant cells with elongated nuclei. (CP, Pap).





**Figs. 6.7**. Metastatic poorly differentiated colonic adenocarcinoma to the lung showing in BAL: A, B. Dissociated polygonal cells with oval nuclei, conspicuous nucleoli and thin cytoplasm that stains positively with CEA antibody. (CP, Pap; B, ABC).

#### Metastatic liver cancer

Hepatocellular carcinomas commonly spread to the lung. Single and clustered polygonal cells with granular or vacuolated cytoplasm are seen, and intracytoplasmic globular inclusions may be observed. Cells derived from a hepatocellular carcinoma do not express CK7 or CK20. A positive staining of the tumor cell cytoplasm with alpha-fetoprotein or HepPar 1 antibody will practically confirm the diagnosis of a metastatic hepatocellular carcinoma. (Figs.6.8).



**Figs.6.8.** Metastatic hepatocellular carcinoma to the lung: A. BAL showing a tumor cell with intracytoplasmic globular inclusion. (Pap). B. Histology of the moderately differentiated hepatocellular carcinoma metastatic to the lung showing tumor cells with some of them displaying intracytoplasmic globular inclusions. (HE).

#### Metastatic salivary gland cancer

Of the salivary gland carcinomas, adenoid cystic carcinoma most commonly metastasizes to the lung. It is characterized in FNA by the presence of abundant small cells with hyperchromatic nuclei and scant cytoplasm in acinar arrangement. Globular bodies of amorphous, basophilic material may be present in smear background and globular bodies wrapped with tumor cells are commonly seen.

#### Metastatic renal, urinary tract and adrenal cancers

Renal cell carcinomas (RCC) commonly metastasize to the lung. A conventional RCC yields cells with clear or granular cytoplasm singly, in clusters and in monolayered sheets. (Figs.6.9 and 6.10). A metastatic papillary RCC yields in FNA monolayered sheets of monomorphic tumor cells with clear or granular cytoplasm, and papillary tumor tissue fragments with fibrovascular core may be present. RCC cells stain positively with RCC and CD10 and negatively with inhibin, CK7 and CK20 antibodies.





**Figs.6.9.** Endobronchial metastatic RCC showing in: A. Clustered malignant glandular cells with scanty cytoplasm and prominent nucleoli in sputum. B. Clusters of malignant glandular cells with granular, well-defined cytoplasm, oval nuclei and prominent nucleoli in a TBFNA. (CP, Pap)





**Figs.6.10**. Metastatic grade 3/3 renal cell carcinoma to the lung showing in: A. Sputum, 2 clusters of malignant glandular cells with prominent nucleoli. B. TTFNA, single and loosely aggregated pleomorphic malignant cells with granular cytoplasm, single or large multiple nuclei. (CP, HE).

Cells from a **conventional RCC** and an **adrenal cortical carcinoma** are morphologically similar and express both cytokeratin and vimentin. Adrenal cortical carcinoma cells express, in addition, inhibin, melan A or A103 but they do not express RCC, CD10.

A metastatic **chromophobe RCC** yields cells similar to those of a conventional RCC. Perinuclear clear spaces and positive cytoplasmic staining with colloidal iron are other characteristic cellular features of the tumor. Cells from a chromophobe RCC express CD117. Abundant intracytoplasmic microvesicles are seen by electron microscopic study of aspirated minute tumor tissue fragments.

A metastatic **high-grade transitional cell carcinoma** of the renal pelvis or urinary bladder is characterized by the presence of pleomorphic malignant epithelial cells singly and in clusters. Tumor cells with cytoplasmic extension or "tail" (cercariform cells) are commonly seen and constitute a fairly reliable feature for this type of neoplasm. (Figs.6.11 and 6.12). Urothelial cancer cells express uroplakin III (URO III), p63, CK5/6, thrombomodulin, CK7 and CK20.





**Figs. 6.11**. Metastatic transitional cell carcinoma, grade 2/3: A. Histology of the tumor. B, C. Slightly pleomorphic tumor cells with granular, ill-defined cytoplasm and small nucleoli in cohesive sheets are seen in tumor TTFNA. (CP, Pap).





**Figs.6.12**. A and B. Metastatic transitional cell carcinoma, grade 3/3 showing in TTFNA pleomorphic, single and clustered malignant cells. A few tumor cells with cytoplasmic tails or "cercariform cells" are noted in A. (CP, Pap).

### Metastatic prostatic cancer

A metastatic prostatic adenocarcinoma shows clusters and sheets of small glandular cells with clear cytoplasm and round nuclei with prominent nucleoli. The tumor cell cytoplasm characteristically stains positively with prostatic specific antigen antibody. (Figs. 6.13).



**Figs.6.13.** A showing cohesive small malignant cells in BB of an endobronchial metastatic prostatic adenocarcinoma. The tumor cells in B stain positively with prostatic specific antigen antibody. (A: CP, Pap; B: ABC).

#### Metastatic uterine cancer

Cervical squamous cell carcinomas frequently spread to the lung while endocervical adenocarcinomas rarely do so. Endometrial adenocarcinoma also rarely metastasizes to the lung. Its tumor cells express ER and vimentin. A metastatic low-grade endometrial stromal sarcoma shows in TTFNA abundant single and clustered small round cells with scant cytoplasm. A metastatic myometrial leiomyosarcoma to the lung shows malignant spindle cells with elongated nuclei with blunt ends.

#### Metastatic ovarian cancer

Ovarian carcinoma metastatic to the lung frequently involves the pleura with associated malignant effusion. Parenchymal spread is uncommon and occurs late in the disease. Cells derived from an ovarian adenocarcinoma usually express CA-125, vimentin and ER and they are CEA negative.

#### Metastatic melanoma

Cutaneous melanoma frequently spreads to the lung and yields single pleomorphic malignant cells. Intranuclear cytoplasmic inclusions are commonly seen and intracytoplasmic melanin pigment granules may be noted. The tumor cell cytoplasm characteristically expresses S100 protein, HMB-45, MART-1 and melan A. (Figs. 6.14).





**Figs.6.14.** A, B. Metastatic melanoma to the lung showing in TTFNA pleomorphic dyshesive malignant cells that stain positively with HMB-45 antibody. (CP, A, Pap; B, CB, ABC).

#### Metastatic soft tissues and bone sarcomas

Soft tissue and bone sarcomas commonly spread to the lung. In practice, the presence of malignant nonepithelial cells in a pulmonary cell sample from a patient with a known soft tissue or bone sarcoma is often indicative of a metastatic sarcoma. A metastatic **Ewing sarcoma** yields in TTFNA single and clustered round cancer cells with scant cytoplasm. (Fig.6.15). A metastatic well-differentiated **leiomyosarcoma** yields in TTFNA loosely clustered spindle cells with cigar-shaped nuclei and scant cytoplasm. (Fig. 6.16). A positive cytoplasmic reaction of the tumor cell cytoplasm with desmin antibody will confirm the diagnosis. A **neurogenic sarcoma** will yield similar tumor cells that stain positively with S-100 protein antibody.



**Fig. 6.15.** Single and clustered round tumor cells with scant cytoplasm in TFNA of a metastatic Ewing sarcoma to the lung. (CP, DQ)



**Fig. 6.16.** Metastatic well-differentiated uterine leiomyosarcoma to the lung showing in TTFNA a large cluster of malignant spindle cells with cigar-shaped nuclei. (CP, Pap).

#### Metastatic testicular cancer and extragonadal germ cell tumors

Testicular seminomas rarely spread to the lung, but other gonadal tumors often metastasize to the lung. Cells derived from a **nonseminomatous tumor** deposits are usually pleomorphic and occur singly or in syncytial clusters. The tumor cell cytoplasm expresses alpha-fetoprotein and placental alkaline phosphatase. Cells from a choriocarcinoma express beta human chorionic gonadotropin. Cells from an **embryonal carcinoma** are pleomorphic and glandular in type with scant cytoplasm and prominent nucleoli. (Fig.6.17). Cells derived from a **yolk sac tumor** are usually present in clusters and show intracytoplasmic eosinophilic globular inclusions. (Fig. 6.18).



**Fig.6.17.** A. Testicular embryonal carcinoma showing clustered malignant cells with prominent nucleoli. (CP, Pap).



**Fig.6.18.** A metastatic yolk sac tumor showing pleomorphic malignant cells with illdefined cytoplasm and intracytoplasmic, eosinophilic globular bodies. (CP, Pap). (Courtesy of Dr. K. C. Suen, Vancouver, BC, Canada).

#### Metastatic neuroendocrine cancer

Neuroendocrine cancer arising from extrapulmonary locations (gastrointestinal tract, pancreas and ovary) may spread to the lung. These tumors yield single and clustered epithelial cells with eccentrically located nuclei (plasmacytoid configuration) and chromatin clumping. A positive cytoplasmic reaction with neuron-specific enolase, synaphtophysin, chromogranin and CD56 antibodies of the tumor cells will confirm the diagnosis of a neyroendocrine cancer.

#### Metastatic NonHodgkin and Hodgkin lymphoma

NonHodgkin lymphoma and Hodgkin disease involving the thymus, mediastinal lymph nodes and other anatomic sites may spread to the lung with formation of tumor masses. These lesions may be diagnosed by bronchial cytologic materials or TTFNA with IHC studies and flow cytometry.

#### Thymic tumor

**Thymoma** may directly invade the lung or metastasize to it. Thymomas are classified histologically into Type A and Type B tumors. Type A tumor consists of spindle

neoplastic epithelial cells with bland nuclei, and type B tumor is characterized by polygonal epithelial tumor cells. Type B thymoma is further subdivided into subtypes B1, B2 and B3, based on the extent of lymphocytic infiltration and the degree of epithelial cell atypia, with B1 tumor containing abundant lymphoid cells and type B2 and B3 being rich in epithelial cells. Type B2 thymoma is characterized by highly atypical or malignant epithelial cells admixed with a small number of lymphocytes. Thymomas with features of type A and type B1 or B2 are designated type AB. Type A, B1 and AB thymomas have a low-malignant potential with rare local recurrence and late metastases, while type B2 and B3 thymomas and thymic carcinomas are more aggressive cancers.

Cytologically, Type A thymoma yields in TFNA single, loosely clustered or tightly clustered spindle epithelial cells. (Figs.6.19). Type B1 thymoma is characterized by abundant lymphocytes admixed with polygonal epithelial cells. (Figs.6.20). The epithelial cells can be difficult to identify in routinely stained cellular materials and staining of the cell sample with a pancytokeratin antibody may easily identify them.

**Other thymic tumors** consist of squamous cell and neuroendocrine carcinomas. **A thymic squamous cell carcinoma** is characterized by malignant squamous cells. **Thymic neuroendocrine carcinomas** have different histologic patterns such as typical and atypical carcinoid tumors and large and small cell carcinomas, and display in TTFNA cells similar to those of the lung with similar histologic patterns.





**Figs.6.19.** Type A thymoma: A. Histology of the tumor showing spindle cells with bland nuclei. B, C. Tumor FNA showing a thick bundle and a thin bundle of spindle tumor cells with bland nuclei and scant cytoplasm. (CP, HE).



**Figs.6.20.** Type B2 thymoma: A. Histology of the tumor showing a mixture of polygonal epithelial tumor cells and lymphocytes. B. Tumor FNA showing cohesive clusters of epithelial tumor cells with pleomorphic nuclei and benign lymphocytes. (CP; B,C, Pap).

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## Chapter 7

# **Pleural tumors**

Pleural metastatic cancers are more commonly encountered than the primary ones and the most important primary tumor of the pleura is mesothelioma. In this chapter the cytopathology of pleural mesothelioma is briefly discussed. The reader is referred to the senior author's ebook on Fluid Cytology for a more comprehensive discussion on the preparation of serous effusion cell samples and cytopathology of mesothelioma and metastatic cancers of the pleura.

## Pleural mesothelioma

Pleural mesothelioma is a rare and aggressive cancer. Epidemiologic studies have linked occupational exposure to asbestos to the development of pleural mesotheliomas in 70 to 90% of the cases and the average latent period is 35 years. Other etiologic factors include exposure to erionite, therapeutic radiation and chronic infection. The tumor occurs mainly during the fifth and sixth decades of life and rarely in children. Males comprise 75% of all reported cases, and almost all patients with the disease die within 6 to 12 months after the diagnosis. It may present as a diffuse or localized growth, and the diffuse form accounts for about 75% of all cases. In over 90% of patients the disease manifests initially with recurrent, unilateral, bloody pleural effusions. In less than 10% of the cases, pleural tumors without pleural effusions are detected by chest radiography. Recently, plasma fibulin-3 levels are found to be significantly higher in patients with pleural mesothelioma than in asbestos-exposed persons without mesothelioma, and effusion fibulin-3 levels are much higher in patients with mesothelioma than in patients with effusions not due to mesothelioma. Pleural mesotheliomas can be evaluated either by cytologic examination of associated effusions or by TTFNA of a pleural mass lesion.

Mesotheliomas are classified into 4 main histologic types: epithelioid, sarcomatous, biphasic or mixed, and poorly differentiated. About 50% of pleural mesotheliomas are of epithelioid in type, and they commonly show a tubulopapillary, microcystic and solid patterns. Usually, 2 or 3 histologic patterns coexist in almost all epithelioid and mixed types. Sarcomatous and mixed mesotheliomas account for approximately 15 to 20% and 25 to 30% of all cases, respectively. A sarcomatous mesothelioma is characterized by spindle malignant cells arranged in a non-specific pattern. A mixed mesothelioma is composed of epithelioid and sarcomatous elements, and areas showing a transition between these 2 cellular elements may be seen. (Figs.7.1). Epithelioid and mixed

mesotheliomas are commonly associated with pleural effusions containing exfoliated epithelioid tumor cells. In contrast, sarcomatous tumors are rarely associated with pleural effusions, and when they do, they seldom exfoliate their cells in the effusions.

Cells of an epithelioid mesothelioma (EM) show several IHC features. Important IHC characteristics of EMs are listed below, and these features are very helpful in the cytodiagnosis of an EM:

- Negative reaction with epithelial antibodies such as CAE, B72.3, MOC-31 antibodies.
- Positive cell membrane reactions with EMA, HBME1, thrombomodulin and mesothelin antibodies.
- Positive cytoplasmic reaction to pancytokeratin, vimentin and cytokeratin 5/6 antibodies.
- Positive cytoplasmic/nuclear reaction to calretinin antibody and
- Positive nuclear reaction to Wilms tumor gene product (WT1) antibody. (Figs.7.2).

According to Ordonez, a combination of 2 positive markers (calretinin, CK5/6, WT-1) and 2 negative markers (CEA, MOC-31, Ber-Ep4) is adequate for a firm diagnosis of EM. The Guidelines for the Diagnosis and Treatment of Malignant Pleural Mesothelioma recently developed by the Asbestos Diseases Research Intitute in Australia recommended that an IHC panel should be used to confirm an EM. The panel should contain positive (mesothelial) and negative (carcinoma-related) markers for an EM and it should include at least one CK marker, at least 2 mesothelial markers and at least 2 carcinoma-related markers. For pleural mesothelioma-like tumors with an epithelial or epithelioid component IHC study using both calretinin and TTF-1 should be routinely carried out, and additional markers should be added when other tumors than a lung cancer enter into the differential diagnosis.

Sarcomatous mesothelioma cells show positive cytoplasmic reactions to vimentin and cytokeratin antibodies and they may express calretinin, desmin and actin. (Fig. 7.3)

By electron microscopy, cells of an EM are characterized by well-formed desmosomes, long filamentous microvilli without dense-core rootlets. The microvilli have length: diameter ratio > 12 to 15 and are present on the free cell surfaces. (Fig.7.4). Cells of a sarcomatous mesothelioma are spindle-shaped and differ very little from fibroblasts, and aborted microvilli may rarely be observed on the cell surfaces. A mixed mesothelioma shows tumor cells with features of both EM and sarcomatous mesotheliomas, and a transition between the two above-mentioned types of cell may be observed.



- Figs.7.1. Histology of different types of pleural mesotheliomas:A. EM showing polygonal tumor cells in solid and glandular patterns.B. Sarcomatous mesothelioma showing spindle tumor cells in a nonspecific pattern.



**Figs. 7.2.** Pleural EM showing tumor cells with positive cytoplasmic reaction to calretinin antibody in A and positive nuclear staining to WT1 antibody in B. (ABC).



**Fig.7.3**. Sarcomatous mesothelioma with spindle tumor cells show a positive cytoplasmic reaction to pan-cytokeratin antibody. (ABC)



**Fig.7.4.** Ultrastructure of epitheloid mesothelioma showing long filamentous microvilli on tumor cell surfaces and well-formed desmosomes. (Uranyl acetate and lead citrate, x 36,000)

## **Effusion cytology**

Serous effusions in patients with epithelioid and mixed mesotheliomas are usually cellular and show numerous EM cells that often display a wide range of nuclear changes, ranging from mild to marked atypia to frank malignancy. In about 10% of cases the effusions are acellular or contain only rare benign reactive mesothelial cells. Sarcomatous cells in a mixed mesothelioma and cells of a sarcomatous or desmoplastic mesothelioma do not usually and spontaneously exfoliate into associated effusions.

The cytodiagnosis of EM in serous effusion requires first to cytologically diagnosis malignancy and then to identify mesothelial features of the cancer cells present. In about 50% of cases, cells from an EM or mixed mesothelioma occur singly, in small groups and in large tridimensional ball-like clusters consisting of up to several hundred cells. In about 25% of cases the tumor cells occur predominantly in tridimensional clusters with very few cells present singly and in small clusters. In the remaining 25% of cases the tumor cells occur predominantly singly. The reader is referred to the author's ebook on Fluid cytology for a more comprehensive discussion on effusion cytology of mesotheliomas.

From the cytodiagnostic point of view an EM can be suspected in about 60% of cases by examination of routinely stained cytologic preparations. Classic cytologic manifestations of an EM consist of malignant cells showing the following features. (Figs.7.5)

- Tumor cells occur singly, in small groups or clusters, as well as in large tridimensional clusters (>50 cells). Large cell clusters have smooth and lobulated contours.
- Tumor cells are usually large and resemble normal mesothelial cells except they have larger nuclei, prominent nucleoli and show a spectrum of nuclear changes ranging from benign to atypical to malignant. The presence of two distinct cell populations, one benign and the other malignant, as seen in metastatic cancers, is not obviously present.
- Small tumor cell clusters commonly show "cell-embracing-cell", "push-in" cell junctions and a clear space or "window" between two adjacent cells.
- Thick papillary tumor tissue fragments with or without fibrovascular cores may be seen and are highly suggestive of an EM.
- **Tumor cells have a thick endoplasm and a fuzzy ectoplasm** that is due to the presence of long filamentous microvilli on free cell surfaces.

**Cellblock** from an effusion secondary to an EM may reveal papillary tumor tissue fragments with fibrovascular cores covered with a single layer of tumor cells. (Fig.7.6). This rare and interesting finding is highly suggestive of an EM.





**Figs.7.5.** Serous effusion in a pleural EM of showing: A and B. Tumor cells present singly, in small clusters and in large ball-like clusters. C. Tumor cells showing abundant, granular cytoplasm, intercellular "windows" and "cell-embracing-cell" arrangement. (A-C, CP, Pap).



**Fig.7.6.** Papillary tumor tissue fragments in a CB prepared from serous effusion associated with a pleural EM. (HE).

#### Immunohistochemistry

In most cases the cytologic manifestations of an EM mimic those of a metastatic adenocarcinoma to the serosa. Therefore, it is important to rule out, by IHC studies, an adenocarcinoma. IHC characteristic features of EM cells consist of a lack of expression of epithelial antigens such as CEA, MOC-31, Ber-Ep4 and a presence of mesothelioma antigens such as HBME-1, calretinin, CK5/6, D2-40 and WT-1. (Figs.7.7 and 7.8). With the current availability of commercial mesothelial antibodies, electron microscopic study of effusion CB now is rarely perfomed for identification of EM.







**Figs.7.7.** IHC of effusion CB in EM: A. Tumor cell cytoplasm reacts negatively with CEA antibody. B. Tumor cells show strong cytoplasmic and nuclear reactions to calretinin antibody. C. Strong, thick, membranous positive staining with spiking pattern with EMA antibody, reflecting the presence of long microvilli on tumor cell surfaces. D. EM cells showing positive nuclear staining with WT-1 antibody. (ABC).





**Figs.7.8.** IHC of lung adenocarcinoma in effusion CB: A. Tumor cells showing a strong cytoplasmic reaction to CEA antibody. B. Tumor cell nuclei stain positively with TTF-1 antibody. C. Tumor cells displaying a positive membranous reaction to MOC-31 antibody. (ABC).
Some commonly encountered cytologic, IHC and ultrastructural features of EM, bronchogenic adenocarcinoma and reactive mesothelium are tabulated in Table 7.1.

CELLULAR	REACTIVE	EPITHELIOID	BRONCHOGENIC
FEATURES	MESOTHELIUM	MESOTHELIOMA	ADENOCARCINOMA
Architecture:	-Singly, common	-Large cohesive	-Singly, rare
	-Monolayered	clusters with	- light multilayered,
	sheets	lobulated borders	3-dimensional
	-Loose groups with	-Small tight clusters	clusters with
	"windows"	with "windows" and	smooth borders
		"pusn-in" junctions	
Cells:			
-Configuration:	-Polygonal, round	-Polygonal, round	-Polygonal, round
-Cytoplasm:	-Well-defined	-Well-defined	-III-defined
- )	-Foamy or	-Dense ectoplasm	-Vacuolated
	homogenous	-Fuzzy periphery	Vacuolateu
	nomogenous	ruzzy peripriery	
-Nucleus:			
-Anisonucleosis	_	+	+
-Irregular contours	_	+	+
-Molding	-	+	- -
-Chromatin	Fine	Fine or coarse	Coarse
			000130
-Nucleolus	Small	Small or large	Large, single, multiple
Staining characteristics:			
- Mucin	-	-	+
- Calretinin, CK5/6,			
D2-40, Mesothelin,			
WT-1	+	+	-
- CEA, MOC-31,			
Ber-Ep4, TTF-1	-	-	+
- Desmin	+/-	+/-	-
- EMA	+, periphery	++, fuzzy, periphery	+, periphery
Ultrastructure:			
- Long microvilli, with			
L:D >12	+	+	-
- Intracytoplasmic			
mucous granules	-	-	+

 Table 7.1: Comparative Cytologic, IHC and Ultrastructural Features of Reactive Mesothelium,

 Epithelioid Mesothelioma and Bronchogenic Adenocarcinoma in Serous Effusions\*

\*Adapted from Nguyen GK, Kline TS: Essentials of cytology. An Atlas. 1993, Igaku-Shoin, New York, p.88.

## Diagnostic accuracy of mesothelioma by effusion cytology

According to Whitaker a diagnosis of EM by effusion cytology may be suggested in the presence of many large clusters or aggregates of tumor cells together with abundant single neoplastic cells. Large cellular aggregates are of most value in facilitating a diagnosis of malignancy, and single cells or clusters of 2 to 6 cells are of most value in identifying the mesothelial characteristics of tumor cells. Nuclear atypias as commonly noted in metastatic adenocarcinomas are not usually seen in mesothelioma cases. IHC and/or electron microscopic studies of effusion CBs are necessary for distinguishing an EM from an adenocarcinoma. In the experience of Whitaker and associates a diagnostic accuracy rate of 80% of EMs of has been reached by a combination of effusion cytology and IHC and/or electron microscopic studies of effusion CBs. The predictive value of a positive diagnosis of EM in serous effusion has been about 100% in those investigators' hands.

## FNA cytology of mesotheliomas

Only a small number of pleural mesotheliomas with cytologic evaluation by TTFNA have been reported. For FNA diagnosis of pleural mesothelioma a sensitivity rate of 73-78% has been reported.

An EM usually yields tumor cells singly, in thick clusters, in sheets and in ball-like or papillary clusters. The individual tumor cells display well-defined, optically dense cytoplasm, oval nuclei and prominent nucleoli. Occasional tumor cells show a vacuolated cytoplasm. (Figs. 7.9 and 7.10)

TTFNA from a sarcomatous tumor may reveal spindle malignant cells with elongated nuclei and scant, granular or clear cytoplasm present singly and in loose clusters. (Fig.7.11). A mixed mesothelioma is characterized by an admixture of single and clustered malignant spindle cells and malignant epithelioid cells displaying mesothelial cell features. A poorly differentiated mesothelioma yields large malignant cells with ill-defined or well-defined, granular cytoplasm and prominent nucleoli singly and in aggregates, similar to those of a large cell carcinoma.



**Figs. 7.9.** TTFNA of a pleural EM showing in: A. Ball-like and papillary tumor cell clusters or fragment. B. Tumor cells with prominent nucleoli present in tridimensional clusters and singly. (DQ)



**Fig. 7.10**. EM shows in TTFNA tumor cells singly, in a loose sheet and in a tridimensional cluster. (HE).



**Fig.7.11**. Sarcomatous mesothelioma of showing in TTFNA isolated, monomorphic spindle cells with elongated nuclei and scanty cytoplasm. (CP, Pap).

IHC staining of the tumor cells within the CB or aspirated minute tissue fragments with antibodies against CEA, Ber-Ep4, MOC-31, cytokeratins 5/6, calretinin and WT1 are helpful for further tumor typing. By electron microscopy the epithelial tumor cells show well-formed desmosomes and long slender microvilli. Microvilli in direct contact with collagen fiber bundles in the tumor matrix may be seen in minute tumor tissue fragments, and this finding constitutes a strong evidence indicating an invasive EM, according to Ghadially.

Cells aspirated from an EM should be differentiated from those of a lung adenocarcinoma, either primary or metastatic. Spindle cells aspirated from a sarcomatous mesothelioma should be differentiated from those of a benign solitary fibrous tumor of the pleura, and from cells of a fibrosarcoma, leiomyosarcoma, malignant schwannoma and malignant fibrous histiocytoma of the lung and pleura. IHC study of the needle aspirate CB with a number of selected antibodies will be useful for differential diagnosis and tumor typing

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